

NHC-Promoted Asymmetric β -Lactone Formation from Arylalkylketenes and Electron-Deficient Benzaldehydes or Pyridinecarboxaldehydes

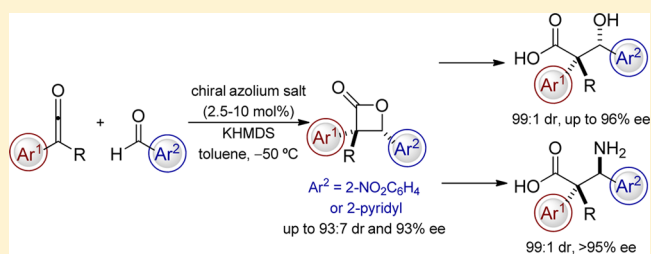
James Douglas,[†] James E. Taylor,[†] Gwydion Churchill,[‡] Alexandra M. Z. Slawin,[†] and Andrew D. Smith^{*,†}

[†]EaStCHEM, School of Chemistry, University of St Andrews, North Haugh, St Andrews KY16 9ST, U.K.

[‡]AstraZeneca, Process Research and Development, Macclesfield, Cheshire SK10 2NA, U.K.

Supporting Information

ABSTRACT: A chiral NHC catalyzes the asymmetric formal [2 + 2] cycloaddition of arylalkylketenes with both electron-deficient benzaldehydes and 2- and 4-pyridinecarboxaldehydes to generate stereodefined β -lactones. In the benzaldehyde series, optimal product diastereo- and enantiocontrol is observed using 2-nitrobenzaldehyde (up to 93:7 dr (*syn:anti*) and 93% ee). Substituted 2- and 4-pyridinecarboxaldehydes are also tolerated in this process, generating the corresponding β -lactones in good yield and enantioselectivity, although the diastereocontrol in these processes is highly dependent upon the aldehyde substitution. These processes are readily scalable, allowing multigram quantities of the β -lactone products to be prepared. Derivatization of these products, either through ring opening into the corresponding stereodefined β -hydroxy and β -amino acid derivatives without loss of stereochemical integrity or via cross-coupling, is demonstrated.

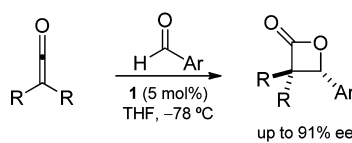


INTRODUCTION

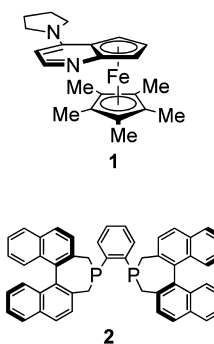
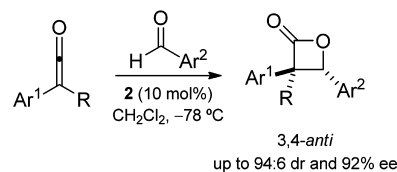
The β -lactone motif is of widespread interest in chemistry, serving as a versatile starting material in complex molecule¹ and building block synthesis^{1b,2} and a monomer in biodegradable polymer synthesis,³ as well as being the core structure in a range of natural products with notable pharmacological properties.⁴ A number of synthetic methods have been used to prepare these scaffolds in enantioenriched form,⁵ ranging from substrate^{5b} and chiral auxiliary⁶ controlled processes to catalytic asymmetric methods.⁷ Within the area of asymmetric Lewis base catalysis, a variety of strategies have been utilized to promote the catalytic asymmetric formation of β -lactones from ketenes and aldehydes.⁸ Building on the pioneering work of Wynberg using cinchona alkaloid catalysts,⁹ the work of the Nelson,¹⁰ Romo,¹¹ and Calter¹² groups has expanded this approach, with a range of elegant intra- and intermolecular strategies developed. Although versatile, typical limitations of the intermolecular process using cinchona derivatives include the requirement for parent or monosubstituted ketene(s) and aliphatic aldehydes for good reactivity. To date, relatively few studies have been reported that use disubstituted ketenes and benzaldehydes in catalytic asymmetric β -lactone formation. In this area, Fu has shown that the planar chiral 4-(pyrrolidino)-pyridine (PPY) derivative **1** promotes asymmetric β -lactone formation from symmetrical dialkylketenes and benzaldehydes with high enantioselectivity (up to 91% ee; Scheme 1).¹³ Kerrigan has recently shown that BINAPHANE **2** can be used to promote asymmetric β -lactone formation from arylalkylketenes and 4-substituted benzaldehydes, giving preferentially

Scheme 1. Previous Lewis Base Promoted Asymmetric β -Lactone Formation from Disubstituted Ketenes and Benzaldehydes

Fu's work



Kerrigan's work



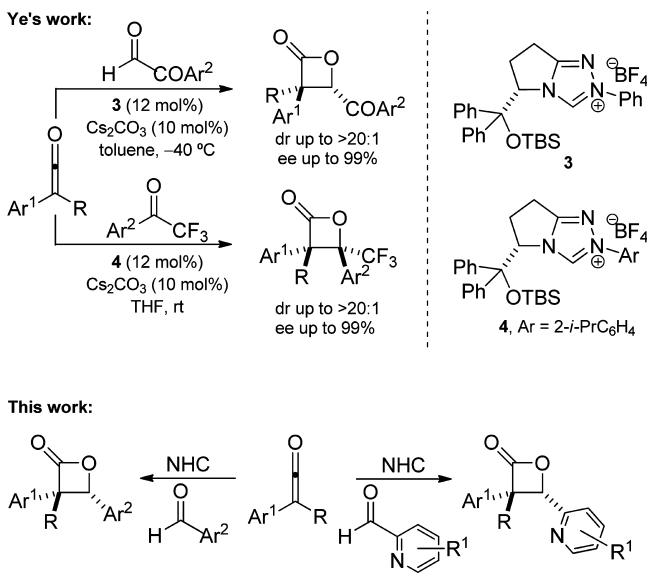
anti- β -lactones with high diastereo- and enantiocontrol (up to 94:6 dr and 92% ee).¹⁴

Ye has previously utilized NHCs to promote β -lactone formation from arylalkylketenes using trifluoromethylketenes¹⁵ (up to >20:1 dr, 99% ee) or activated 2-oxo aldehydes¹⁶ (up to >20:1 dr, 99% ee; Scheme 2). Notably, the use of ethyl(2-chlorophenyl)ketene is a necessary substrate constraint for high diastereoselectivity in the latter process, with 4-chlorobenzal-

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Scheme 2. Previous and Proposed Asymmetric β -Lactone Formation from Disubstituted Ketenes and Aldehydes Using NHCs



dehyde proving inactive to β -lactone formation in this study. Building upon these precedents and our interest in NHC-mediated asymmetric processes,¹⁷ we now report the development of an alternative and scalable NHC-promoted asymmetric β -lactone synthesis from alkylarylketenes and both benzaldehydes bearing electron-withdrawing substituents and pyridine-carboxaldehydes. Furthermore, derivatization of the β -lactone products, either through ring opening into the corresponding stereodefined β -hydroxy and β -amino acid derivatives or via cross-coupling, is demonstrated.

RESULTS AND DISCUSSION

Evaluating NHC-Promoted β -Lactone Formation using Benzaldehydes. As NHCs are known to promote benzoin reactions of benzaldehydes¹⁸ as well as ketene dimerization processes,¹⁹ at the outset of our investigations these were recognized as possible competitive reaction manifolds. NHC precatalyst **3** was chosen for our studies, given its precedent to participate in enantioselective cycloaddition processes using ketenes, despite its moderate reactivity in benzoin reactions.²⁰ Initial studies employed ethylphenylketene and triazolium precatalyst **3** with a range of substituted benzaldehydes (Table 1). While benzaldehyde gave no β -lactone products (giving only ketene dimer), promising reactivity was observed with electron-deficient benzaldehydes utilizing dropwise ketene addition to minimize dimerization. The reactions using 4-(trifluoromethyl)- or 4-nitrobenzaldehyde performed at 0 °C gave good yields of β -lactone product (**5** and **6**) with moderate levels of *anti* diastereoselectivity (entries 2 and 3).²¹ Improved enantiocontrol was achieved at the detriment of product conversion at -78 °C using 4-nitrobenzaldehyde (entry 4).²² Using 2-nitrobenzaldehyde, high *syn* diastereoselectivity (89:11 *syn:anti*) was observed at 0 °C, giving the major *syn* diastereoisomer **7** in 94% ee (entry 5). Further optimization using 2-nitrobenzaldehyde was achieved through lowering the reaction temperature, with consistently high levels of diastereo- and enantioselectivity observed (entries 6 and 7). Interestingly, lowering the reaction temperature below -50 °C had a detrimental effect on product

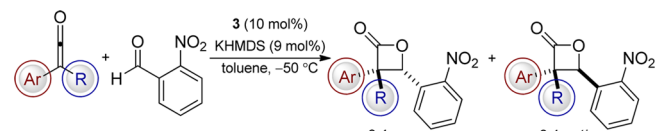
Table 1. Initial Screening of Benzaldehydes for NHC-Promoted β -Lactone Synthesis^a

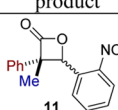
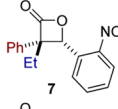
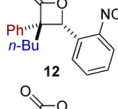
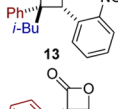
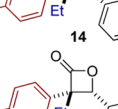
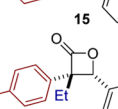
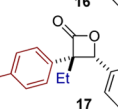
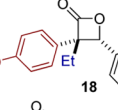
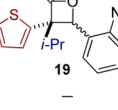
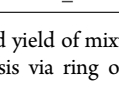
Entry	Ar	T / °C	dr ^d (<i>syn:anti</i>)	Major product	Yield % ^b (<i>syn:anti</i>)	ee % ^c (<i>syn:anti</i>)
1 ^d	Ph	0	—:—	—	—	—
2 ^e	4-FC ₆ H ₄	0	30:70		27,55	80,38
3 ^f	4-NO ₂ C ₆ H ₄	0	40:60		30,50	81,14
4 ^f	4-NO ₂ C ₆ H ₄	-78 to rt	40:60		7,34	97,54
5 ^f	2-NO ₂ C ₆ H ₄	0	89:11		86,—	94,—
6	2-NO ₂ C ₆ H ₄	-25	92:8		77,—	97,—
7	2-NO ₂ C ₆ H ₄	-50	93:7		83,—	93,—
8	2-NO ₂ C ₆ H ₄	-78	89:11		86,—	82,—
9 ^g	2-FC ₆ H ₄	-50	50:50		29	87,69
10 ^g	2-ClC ₆ H ₄	-50	52:48		36	87,76
11 ^g	2-BrC ₆ H ₄	-50	56:44		25	95,71

^adr determined by ¹H NMR analysis of the crude reaction mixture. ^bIsolated yield of single diastereoisomer. ^cee determined by HPLC analysis. ^dKetene added in a single portion. ^e1.5 equiv of aldehyde. ^f1.2 equiv of aldehyde. ^gIsolated yield and ee of products after ring opening into the corresponding β -hydroxy acids and derivatization. ^hUnless stated, the ketene was added dropwise as a solution in toluene.

ee (entry 8), postulated to be due to a competitive KHMDS-catalyzed racemic pathway at this temperature.²³ The absolute and relative configurations within **7** were proven through X-ray crystallographic analysis.²⁴ Following the promising reactivity and stereoselectivity observed using 2-nitrobenzaldehyde, the ability of 2-halobenzaldehydes to participate in this reaction process was investigated. 2-Fluoro-, 2-chloro-, and 2-bromobenzaldehyde all gave the corresponding β -lactones (**8–10**) with poor dr (entries 9–11),²⁵ consistent with the 2-nitro substituent being a necessary constraint for optimal diastereoselectivity.²⁶

With 2-nitrobenzaldehyde identified as giving optimum diastereo- and enantiocontrol in this process, its scope and limitations were explored through variation of the ketene component (Table 2). First, a series of alkylphenylketenes were reacted under the optimized conditions. Incorporation of a methyl substituent led to a decrease in dr while maintaining high levels of enantioselectivity for both diastereoisomers of product **11** (entry 1). Ethyl and *n*-butyl substitution gave β -lactones **7** and **12** in high dr and ee, while isobutyl incorporation leads to high dr but poor ee (entries 2–4). Variation of the aryl unit within a series of ethylarylketenes was also investigated, with the incorporation of both electron-withdrawing and electron-donating substituents providing β -lactones **14–18** in high dr and ee (entries 5–9). Further substrate variation showed that isopropyl(3-thiophene)ketene

Table 2. Reaction Scope and Limitations Employing 2-Nitrobenzaldehyde^g


Entry	Ar	R	dr ^a (<i>syn:anti</i>)	Major product	Yield % ^b	ee % ^c (<i>syn,anti</i>)
1	Ph	Me	43:57		65	90,90
2 ^d	Ph	Et	93:7		83	93,—
3 ^{d,e}	Ph	<i>n</i> -Bu	94:6		74	89,—
4	Ph	<i>i</i> -Bu	94:6		30	<10%,—
5	4-FC ₆ H ₄	Et	93:7		69	85,—
6	4-ClC ₆ H ₄	Et	93:7		79	86,—
7	4-BrC ₆ H ₄	Et	94:6		68	92,—
8	4-MeC ₆ H ₄	Et	90:10		52	83,—
9 ^f	4-MeOC ₆ H ₄	Et	86:14		75	86,—
10	2-thienyl	<i>i</i> -Pr	45:55		35	58,92
11	2-CH ₃ C ₆ H ₄	Et	—	—	—	—
12	1-naphthyl	Et	—	—	—	—

^adr determined by ¹H NMR analysis of the crude reaction mixture. ^bIsolated yield of mixture of diastereoisomers. ^cee determined by HPLC analysis. ^dIsolated yield of single diastereoisomer. ^eee determined by HPLC analysis via ring opening with NaN₃. ^f1.2 equiv of ketene and 1.0 equiv of aldehyde used. ^gThe ketene was added dropwise as a solution in toluene.

gave β -lactone **19** with poor dr, although the *anti* diastereoisomer was formed in high ee (entry 10). The NHC-catalyzed reaction of either ethyl(2-tolyl)- or ethyl(1-naphthyl)ketene with 2-nitrobenzaldehyde gave no β -lactone products, returning the aldehyde starting material (entries 11 and 12). Notably, the observed trend in product diastereoselectivity using NHC-mediated catalysis (reduced dr for methylarylketenes) is opposite to that observed by Kerrigan using phosphine catalysis (high dr for methylarylketenes, reduced dr for *n*-butylarylketenes).¹⁴

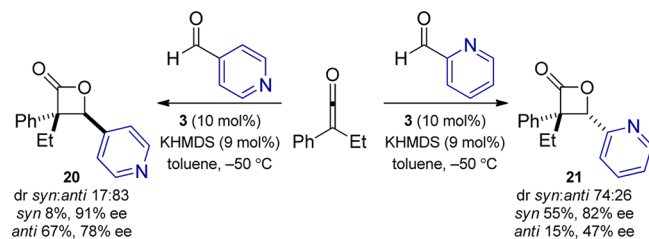
Evaluating NHC-Promoted β -Lactone Formation Using Pyridinecarboxaldehydes. Although the use of 2-nitrobenzaldehyde allows efficient access to a range of β -

lactones in good yield and typically excellent diastereo- and enantiocontrol, the limited scope of this methodology due to the requirement for a 2-nitro substituent for reasonable diastereoselectivity reduces its synthetic versatility. To address this, the ability of heteroaromatic aldehydes to participate in this methodology was investigated.²⁷ Accordingly, furfural and all isomers of pyridinecarboxaldehyde were identified as possible participants in this protocol. The formation of chiral pyridine containing compounds is of significance due both to their use in asymmetric catalysis²⁸ and their appearance in biologically relevant molecules.²⁹ Although a number of methods have previously been developed to prepare these

motifs,³⁰ enantiomerically enriched pyridyl substituted β -lactones, to the best of our knowledge, are unreported.³¹

While both furfural and 3-pyridinecarboxaldehyde gave the corresponding β -lactone products with unsatisfactory levels of conversion (<10%), 2- and 4-pyridinecarboxaldehyde both proved to be efficient coupling partners with ethylphenylketene (Scheme 3). Notably, 2-pyridinecarboxaldehyde preferentially

Scheme 3. Initial Reactivity Employing 2- and 4-Pyridinecarboxaldehydes



gave the *syn* diastereoisomer **21** (74:26 *syn:anti*), while 4-pyridinecarboxaldehyde preferentially gave the *anti* diastereoisomer **20** (17:83 *syn:anti*), consistent with the differences in *syn:anti* product distributions previously observed using 2- and 4-nitrobenzaldehydes.³² The absolute and relative configuration within β -lactone **21** was unambiguously identified via X-ray crystallographic analysis,³³ consistent with the sense of asymmetric induction previously observed using 2-nitrobenzaldehyde.

Subsequent studies probed the generality of this process through variation of the alkylphenylketene and through the effect of substitution within the 2-pyridinecarboxaldehyde (Table 3).³³ Using 2-pyridinecarboxaldehyde, variation of either the aryl unit within the ketene or the alkyl chain length had little effect upon reaction stereoselectivity, with *syn*- β -lactones **22**–**25** formed in good dr and ee (entries 1–4). The effect of both electronic and steric perturbation within the 2-pyridyl motif was next investigated. Using methylphenylketene, 6-bromo-2-pyridinecarboxaldehyde gave *syn*- β -lactone **26** (70% combined yield, 80:20 dr *syn:anti*, 86% ee *syn*), while 3-bromo-2-pyridinecarboxaldehyde displayed a reversal in diastereoselectivity for the major *anti* diastereoisomer **27** (91% ee), albeit with moderate conversion into product (entries 5 and 6).

The compatibility of a range of 4-pyridinecarboxaldehyde derivatives of increasing complexity with the methodology was next investigated (Table 4). The use of 3-fluoro-4-pyridinecarboxaldehyde resulted in a switch in diastereoselectivity in comparison with the parent 4-pyridinecarboxaldehyde, giving moderate diastereocontrol in favor of *syn* stereoisomer **28** (*syn:anti* 63:37, entry 1). 4-Quinolinecarboxaldehyde gave *anti*- β -lactone **29** with excellent diastereoselectivity (3:97 *syn:anti*) and promising enantioselectivity (82% ee *anti*) in 57% yield (entry 2). The use of a complex 3,5-disubstituted 4-pyridinecarboxaldehyde gave β -lactone **30** with no diastereocontrol, providing readily separable *syn*- and *anti*-lactones (50:50 dr) in good yield and moderate enantioselectivity (70% combined yield, 76% ee *syn*, 74% ee *anti*, entry 3).

Postulated Reaction Mechanism. While Kerrigan favors Lewis base addition to the aldehyde as the initial step in the phosphine-catalyzed β -lactone synthesis from ketenes and benzaldehydes, in this NHC-mediated process, concurrent with the ideas of Ye, we propose initiation through NHC

Table 3. NHC-Promoted β -Lactone Formation Using 2-Pyridinecarboxaldehydes^e

Entry	Ar	R	dr ^d (<i>syn:anti</i>)	Major product	Yield % ^b	ee % ^c (<i>syn:anti</i>)
1	Ph	Me	77:23	22	78	88,86
2 ^d	Ph	<i>n</i> -Bu	82:18	23	62	84,37
3 ^d	4-FC ₆ H ₄	Et	82:18	24	73	79,42
4	4-BrC ₆ H ₄	Et	80:20	25	45	80,—
5	Ph	Me	80:20	26	70	86,67
6	Ph	Me	18:82	27	14	—,91

^ddr determined by ¹H NMR analysis of the crude reaction mixture.

^bIsolated yield of separable diastereoisomers. ^cee determined by HPLC analysis. ^d1.1 equiv of ketene and 1.0 equiv of aldehyde used.

^eThe ketene was added dropwise as a solution in toluene.

Table 4. NHC-Promoted β -Lactone Formation Using 4-Pyridinecarboxaldehyde Derivatives^e

Entry	dr ^d (<i>syn:anti</i>)	Major product	Yield % ^b	ee % ^c (<i>syn:anti</i>)
1	63:37	28	31	37,64
2 ^d	3:97	29	57	—,82
3 ^d	50:50	30	70	76,74

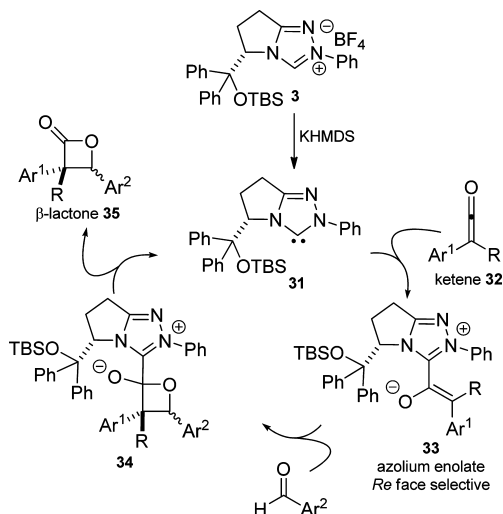
^ddr determined by ¹H NMR analysis of the crude reaction mixture.

^bIsolated yield of separable diastereoisomers. ^cee determined by HPLC analysis. ^d1.1 equiv of ketene and 1.0 equiv of aldehyde used.

^eThe ketene was added dropwise as a solution in toluene.

addition to the ketene (Scheme 4). Addition of in situ generated NHC **31** to ketene **32** *anti* to the aryl unit generates azolium enolate intermediate **33**. Subsequent concerted but asynchronous formal [2 + 2] cycloaddition with electron-deficient benzaldehydes or 2- and 4-pyridinecarboxaldehydes yields zwitterionic intermediate **34**, with subsequent catalyst

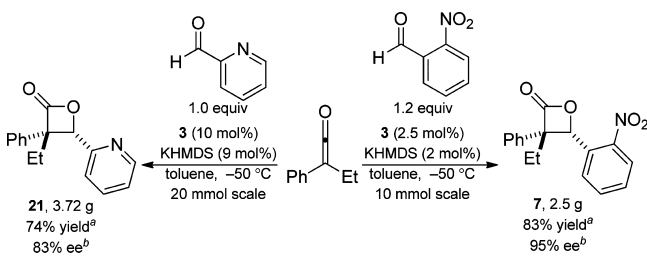
Scheme 4. Proposed Mechanism



regeneration and β -lactone formation. The consistency of the configuration at the C(3) position of all the major diastereomeric β -lactone products observed herein is congruent with a favorable reaction upon the *Re*-face addition of the azolium enolate 33. However, given the obvious subtle interplay between steric and electronic factors within the aldehyde component that leads to diastereocontrol in these processes, a full rationale for the differing observed *syn* or *anti* selectivities with change in the aldehyde unit is at best speculative.

Scaleup and Derivatization Procedures. To demonstrate the synthetic utility of this methodology, the reaction of ethylphenylketene with 2-nitrobenzaldehyde could be conveniently carried out on a preparative scale with reduced NHC loadings (2.5 mol %), providing >2.5 g of β -lactone 7 as a single diastereoisomer after purification in high ee (83% yield, 95% ee), which could be recrystallized to enantiopurity. Using a higher precatalyst 3 loading of 10 mol %, 2-pyridyl β -lactone 21 could also be obtained as a single diastereoisomer on a >3.5 g scale after purification (74% yield, 82:18 dr *syn:anti*) and in good enantioselectivity for the major *syn* β -lactone (83% ee) (Scheme 5).

Functionalization of β -lactones 7 and 21 was subsequently achieved through ring opening with either azide or hydroxide to give the corresponding α,α -disubstituted β -amino and β -hydroxy acid derivatives 36–39 as single diastereoisomers without loss of enantiopurity (Scheme 6).^{13,34} Alternatively, 21

Scheme 5. Scaleup of NHC-Catalyzed Reactions with 2-Nitrobenzaldehyde and 2-Pyridinecarboxaldehyde^a

^aCombined isolated yield of separable diastereoisomers. ^bee determined by HPLC analysis.

could be treated with benzylamine to generate the β -hydroxy acid amide 40 as a single diastereoisomer.

Finally, further complexity within the pyridyl substituted β -lactone series could be obtained via palladium cross coupling of β -lactone 26 bearing a bromine substituent (Scheme 7). Both Buchwald–Hartwig³⁵ and Suzuki³⁶ couplings of 26 with morpholine and indole 43, respectively, provided highly complex β -lactone frameworks 41 and 42 in good yield (66% and 92% yields, respectively) and with no erosion of enantiopurity.

CONCLUSION

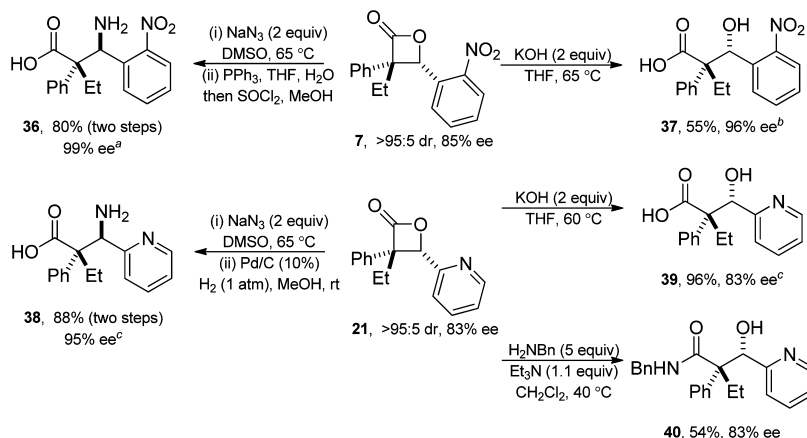
In summary, an efficient and scalable methodology for the stereocontrolled formation of β -lactones catalyzed by chiral NHCs has been developed. The reaction of a range of disubstituted ketenes with either 2-nitrobenzaldehyde or a variety of 2- and 4-pyridinecarboxaldehydes proceeds with generally excellent levels of enantio- and diastereoselectivity. Importantly, this methodology expands the scope of the formal [2 + 2] cycloaddition between ketenes and aldehydes to heteroaromatic aldehydes for the first time, allowing access to highly functionalized novel structural architectures. Notably, no competing benzoin or significant formation of ketene dimerization products was observed under the reaction conditions, with the β -lactones readily transformed into useful synthetic building blocks. Further studies focusing upon the generation and reaction of azolium enolates in NHC-mediated catalysis are underway, alongside mechanistic and kinetic investigations to advance our understanding of the reaction dynamics in these systems.

EXPERIMENTAL SECTION

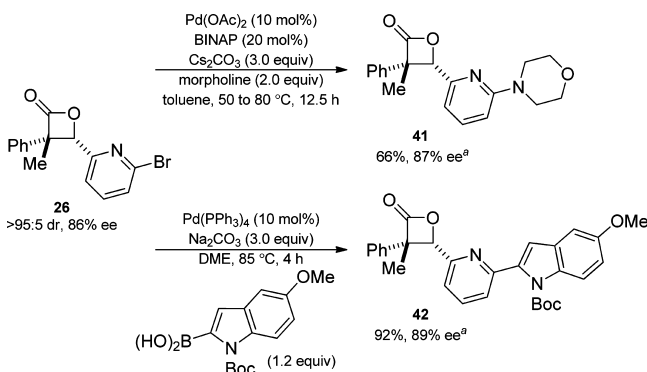
General Considerations. All reactions were performed in flame-dried glassware using anhydrous solvents. The required aldehydes were purified by Kugelrohr distillation under reduced pressure prior to use. All other reagents were obtained from commercial sources and were used without further purification. Room temperature refers to 20–25 °C, with temperatures between 0 and –50 °C obtained using an immersion cooler. ¹H NMR spectra were acquired at 300, 400, or 500 MHz, ¹³C{¹H} NMR spectra were acquired at 75, 100, or 125 MHz, and ¹⁹F{¹H} NMR spectra were acquired at 376 MHz. Chemical shifts are quoted in parts per million (ppm) relative to the residual solvent peak; coupling constants, *J*, are quoted in hertz (Hz). NMR peak assignments were confirmed using 2D ¹H COSY and 2D ¹H NOESY where necessary. Infrared spectra were recorded as thin films on either NaCl plates or KBr disks. Mass spectrometry (*m/z*) data were acquired using electrospray ionization (ESI), electron impact (EI), atmospheric solids analysis probe (ASAP), or nanospray ionization (NSI) using a TOF mass analyzer. Optical rotations were recorded with a path length of 1 dm, and concentrations, *c*, are quoted in g/100 mL. All chiral HPLC traces were compared with an authentic racemic trace prepared using racemic 3.

General Procedure for the Preparation of Ketenes. A flame-dried two-neck round-bottom flask separated by a sintered adaptor to a second two-neck round-bottom flask under an argon atmosphere was charged with anhydrous Et₂O and the appropriate acid chloride (1 equiv) before being cooled to 0 °C. Et₃N (1.1 equiv) was added dropwise over 30 min, and the reaction mixture was stirred overnight at 0 °C. The solution was warmed to room temperature and filtered through the sintered adaptor into the second flask and concentrated. The crude oil was transferred via cannula into a flame-dried Kugelrohr flask and purified by distillation.

Ethylphenylketene. Prepared according to the general procedure from 2-phenylbutanoyl chloride (3.00 g, 16.4 mmol) and Et₃N (2.52 mL, 18.1 mmol) in Et₂O (45 mL). The crude oil was purified via Kugelrohr distillation 80–90 °C (5 mbar) {lit.³⁷ 70 °C (0.5 Torr)} to

Scheme 6. Derivatization via Ring Opening of β -Lactones

^aee determined by HPLC analysis via conversion into NBN, OBn derivative. ^bee determined by HPLC analysis via conversion into OBn ester. ^cee determined by HPLC analysis via conversion into Me ester.

Scheme 7. Functionalization of β -Lactones by Cross-Coupling

^aee determined by HPLC analysis.

give ethylphenylketene (1.40 g, 60%) as a light yellow oil that is stable for up to 2 months in the freezer under an argon atmosphere: ¹H NMR (300 MHz, CDCl₃) δ_{H} 1.24 (3H, t, $J = 7.4$, CH₂CH₃), 2.44 (2H, q, $J = 7.4$, CH₂CH₃), 7.02–7.10 (3H, m, ArH), 7.28–7.35 (2H, m, ArH).

Methylphenylketene. Prepared according to the general procedure from 2-phenylpropanoyl chloride (4.05 g, 24.0 mmol) and Et₃N (3.34 mL, 24.0 mmol) in Et₂O (50 mL). The crude oil was purified via Kugelrohr distillation at 60–80 °C (5 mbar) {lit.³⁷ 50 °C (4 Torr)} to give methylphenylketene (1.44 g, 45%) as a yellow-orange oil: ¹H NMR (400 MHz, CDCl₃) δ_{H} 1.92 (3H, s, CH₃), 6.93–6.95 (2H, m, ArH), 6.97–7.01 (1H, m, ArH), 7.21–7.25 (2H, m, ArH).

Butylphenylketene. Prepared according to the general procedure from 2-phenylhexanoyl chloride (3.00 g, 14.2 mmol) and Me₂EtN (1.70 mL, 15.7 mmol) in Et₂O (45 mL). The crude oil was purified via Kugelrohr distillation at 110–120 °C (5 mbar) to give butylphenylketene (1.26 g, 51%) as a yellow-orange oil: ¹H NMR (300 MHz, CDCl₃) δ_{H} 0.87 (3H, t, $J = 7.2$, nBuH), 1.30–1.53 (4H, m, nBuH), 2.32 (2H, t, $J = 7.4$, nBuH), 6.93–7.01 (3H, m, ArH), 7.19–7.25 (2H, m, ArH).

Isobutylphenylketene. Prepared according to the general procedure from 4-methyl-2-phenylpentanoyl chloride (3.80 g, 18.0 mmol) and Me₂EtN (2.15 mL, 20.0 mmol) in Et₂O (45 mL). The crude oil was purified via Kugelrohr distillation at 110–117 °C (5 mbar) {lit.³⁸ 37–46 °C (0.8 Torr)} to give isobutylphenylketene (1.75 g, 56%) as a yellow-orange oil: ¹H NMR (300 MHz, CDCl₃) δ_{H} 0.92 (6H, d, $J = 6.6$, CH(CH₃)₂), 1.66–1.83 (1H, m, CH(CH₃)₂), 2.19 (2H, d, $J = 7.0$, CH₂CH(CH₃)₂), 6.95–7.01 (3H, m, ArH), 7.17–7.25 (2H, m, ArH).

Ethyl(4-fluorophenyl)ketene. Prepared according to the general procedure from 2-(4-fluorophenyl)butanoyl chloride (2.70 g, 13.5 mmol) and EtMe₂N (1.60 mL, 14.8 mmol) in Et₂O (40 mL). The crude oil was purified via Kugelrohr distillation at 104–110 °C (7 mbar) to give ethyl(4-fluorophenyl)ketene (1.21 g, 55%) as a yellow-orange oil: ν_{max} (thin film)/cm⁻¹ 2100; ¹H NMR (300 MHz, CDCl₃) δ_{H} 1.13 (3H, t, $J = 7.4$, CH₂CH₃), 2.33 (2H, q, $J = 7.4$, CH₂CH₃), 6.87–7.97 (4H, m, ArH); ¹³C{¹H} NMR (75 MHz, CDCl₃): δ_{C} 12.9, 17.5, 41.1, 116.1 (d, $J = 21.7$), 125.5 (d, $J = 7.6$), 128.5 (d, $J = 3.1$), 160.5 (d, $J = 243.4$), 205.7.

Ethyl(4-chlorophenyl)ketene. Prepared according to the general procedure from 2-(4-chlorophenyl)butanoyl chloride (763 mg, 3.51 mmol) and Et₃N (0.49 mL, 3.51 mmol) in Et₂O (20 mL). The crude oil was purified via Kugelrohr distillation at 125–135 °C (7 mbar) to give ethyl(4-chlorophenyl)ketene (0.37 g, 56%) as a yellow-orange oil: ¹H NMR (300 MHz, CDCl₃) δ_{H} 1.34 (3H, t, $J = 7.4$, CH₂CH₃), 2.53 (2H, q, $J = 7.4$, CH₂CH₃), 7.05–7.09 (2H, m, ArH), 7.37–7.42 (2H, m, ArH).

Ethyl(4-bromophenyl)ketene. Prepared according to the general procedure from 2-(4-bromophenyl)butanoyl chloride (5.00 g, 19.1 mmol) and Et₂MeN (2.30 mL, 21.0 mmol) in Et₂O (45 mL). The crude oil was purified via Kugelrohr distillation at 180 °C (7 mbar) to give ethyl(4-bromophenyl)ketene (1.21 g, 28%) as a yellow-orange oil: ν_{max} (thin film)/cm⁻¹ 2100; ¹H NMR (300 MHz, CDCl₃) δ_{H} 1.13 (3H, t, $J = 7.4$, CH₂CH₃), 2.33 (2H, q, $J = 7.4$, CH₂CH₃), 6.87–7.97 (4H, m, ArH); ¹³C{¹H} NMR (75 MHz, CDCl₃): δ_{C} 11.7, 15.9, 40.7, 116.2, 124.4, 130.9, 131.0, 203.2.

Ethyl(4-tolyl)ketene. Prepared according to the general procedure from 2-(*p*-tolyl)butanoyl chloride (2.16 g, 11.0 mmol) and Et₃N (1.53 mL, 11.0 mmol) in Et₂O (40 mL). The crude oil was purified via Kugelrohr distillation at 110–120 °C (7 mbar) {lit.¹⁹ 68–72 °C (0.2 Torr)} to give ethyl(4-tolyl)ketene (0.92 g, 52%) as a yellow-orange oil: ¹H NMR (300 MHz, CDCl₃) δ_{H} 1.29 (3H, t, $J = 7.4$, CH₂CH₃), 2.40 (3H, s, ArCH₃), 2.50 (2H, q, $J = 7.4$, CH₂CH₃), 7.02 (2H, d, $J = 8.1$, ArH), 7.21 (2H, d, $J = 8.1$, ArH).

Ethyl(4-methoxyphenyl)ketene. Prepared according to the general procedure from 2-(4-methoxyphenyl)butanoyl chloride (3.00 g, 14.1 mmol) and Et₃N (3.93 mL, 28.2 mmol) in Et₂O (45 mL). The crude oil was purified via Kugelrohr distillation at 140–150 °C (3 mbar) to give ethyl(4-methoxyphenyl)ketene (1.19 g, 48%) as a yellow-orange oil: ν_{max} (thin film)/cm⁻¹ 2096; ¹H NMR (300 MHz, CDCl₃) δ_{H} 1.21 (3H, t, $J = 7.4$, CH₂CH₃), 2.41 (2H, q, $J = 7.4$, CH₂CH₃), 3.79 (3H, s, ArCH₃), 6.86–6.91 (2H, m, ArH), 6.95–6.99 (2H, m, ArH).

Isopropyl(3-thionyl)ketene. Prepared according to a literature procedure³⁹ from 3-methyl-2-(thiophen-3-yl)butanoyl chloride (2.27 g, 11.2 mmol, 1 equiv) and Me₂EtN (5.46 mL, 50.4 mmol, 4.5 equiv) in THF (30 mL) at 0 °C for 10 min and then room temperature for 4.5 h. The crude oil was purified via Kugelrohr distillation at 85–90 °C

purified by silica gel chromatography (95/5 CH₂Cl₂/MeOH) to give (S)-methyl 2-((R)-amino(pyridin-2-yl)methyl)-2-phenylbutanoate (47 mg, 47%) as an orange oil: $[\alpha]_{\text{D}}^{20} = -25.0$ (*c* 0.58 in CHCl₃); chiral HPLC analysis Chiralpak AD-H (5% IPA/hexane, flow rate 1 mL min⁻¹, 254 nm, 30 °C) *t*_R (minor) 30.4 min, *t*_R (major) 34.3 min, 95% ee; ν_{max} (film) 1724 (C=O), 1589, 1570; ¹H NMR (300 MHz, CDCl₃) δ_{H} 0.65 (3H, t, *J* = 7.4, CH_AH_BCH₃), 1.65–1.77 (1H, m, CH_AH_BCH₃), 1.91–2.03 (1H, m, CH_AH_BCH₃), 2.50 (2H, br s, NH₂), 3.69 (3H, s, OCH₃), 4.59 (1H, s, CHNH₂), 6.96 (1H, br d, *J* = 8.0, C(S)-pyH), 7.01–7.29 (6H, m, ArH), 7.46 (1H, td, *J* = 7.6, 1.9, C(4)-pyH), 8.42–8.46 (1H, m, C(2)-pyH); ¹³C{¹H} NMR (75 MHz, CDCl₃) δ_{C} 9.9, 29.0, 51.9, 61.7, 63.0, 122.6, 123.9, 127.0, 128.2, 128.4, 135.9, 139.7, 148.9, 159.7, 174.6; HRMS (NSI⁺) C₁₇H₂₁N₂O₂ [M + H]⁺ requires 285.1598, found 285.1601 (+1.2 ppm).

(S)-2-((S)-Hydroxy(pyridin-2-yl)methyl)-2-phenylbutanoic Acid (39). According to a literature procedure,¹³ *syn*-21 (100 mg, 0.39 mmol, 1 equiv), 1 M KOH (0.79 mL, 0.79 mmol, 2 equiv), and THF (1.3 mL) were heated at 60 °C in a screw-cap vial for 16 h. The solution was cooled to room temperature before being concentrated. The resulting oil was dissolved in EtOAc (5 mL) and acidified to pH 3 with 1 M HCl. The layers were separated, and the aqueous layer was extracted with EtOAc (2 × 5 mL) before the combined organics were washed with brine, dried over MgSO₄, and concentrated to give (S,S)-39 (102 mg, 96%) as a pale yellow solid: mp 48–50 °C; $[\alpha]_{\text{D}}^{20} = -99.6$ (*c* 1.12, CHCl₃); ν_{max} (film) 3439 (br, O–H), 1699 (C=O), 1601; ¹H NMR (300 MHz, CDCl₃) δ_{H} 1.00 (3H, t, *J* = 7.4, CH₃), 1.99–2.16 (1H, m, CH_AH_BCH₃), 2.21–2.39 (1H, m, CH_AH_BCH₃), 5.51 (1H, s, CHOH), 6.71 (1H, d, *J* = 7.8, C(S)-pyH), 7.01–7.09 (2H, m, ArH), 7.11–7.20 (4H, m, ArH), 7.48 (1H, td, *J* = 7.8, 1.6, C(4)-pyH), 8.45 (1H, br d, *J* = 4.9, C(2)-pyH), 9.06 (1H, br, s, COOH); ¹³C{¹H} NMR (75 MHz, CDCl₃) δ_{C} 9.7, 28.4, 61.8, 77.2, 123.2, 124.2, 127.0, 127.6, 129.1, 137.5, 137.6, 146.0, 158.5, 177.4; HRMS (NSI⁺) C₁₆H₁₈NO₃ [M + H]⁺ requires 272.1281, found 272.1284 (+1.0 ppm).

(S,S)-39 was derivatized into its methyl ester to allow chiral HPLC analysis. (S,S)-39 (108 mg, 0.39 mmol, 1 equiv) was dissolved in MeOH (2 mL) under an inert atmosphere of nitrogen and cooled to 0 °C. (Trimethylsilyl)diazomethane (0.6 M in hexanes, 0.83 mL, 0.5 mmol, 1.3 equiv) was added dropwise, and the resulting solution was stirred at room temperature for 3 h in the absence of light. The reaction mixture was quenched with NaHCO₃, diluted with H₂O, and extracted with Et₂O (×3). The combined organics were washed with brine, dried over MgSO₄, and concentrated to give (S)-methyl 2-((S)-hydroxy(pyridin-2-yl)methyl)-2-phenylbutanoate (52 mg, 47%) as a pale yellow solid: mp 96–98 °C (Et₂O); $[\alpha]_{\text{D}}^{20} = -161.9$ (*c* 0.80 in CHCl₃); chiral HPLC analysis Chiralpak AD-H (5% IPA/hexane, flow rate 1 mL min⁻¹, 254 nm, 30 °C) *t*_R (major) 14.3 min, *t*_R (minor) 20.2 min, 83% ee; ν_{max} (film) 1728 (C=O), 1591, 1570, 1499; ¹H NMR (300 MHz, CDCl₃) δ_{H} 1.02 (3H, t, *J* = 7.4, CH_AH_BCH₃), 2.00–2.12 (1H, m, CH_AH_BCH₃), 2.17–2.29 (1H, m, CH_AH_BCH₃), 3.78 (3H, s, OCH₃), 5.45 (1H, s, CHOH), 6.64 (1H, d, *J* = 7.9, C(S)-pyH), 6.94–6.99 (2H, m, ArH), 7.08 (1H, ddd, *J* = 7.5, 4.9, 1.2, ArH), 7.14–7.23 (3H, m, ArH), 7.40 (1H, td, *J* = 7.8, 1.8, C(4)-pyH), 8.33–8.38 (1H, m, C(2)-pyH); ¹³C{¹H} NMR (75 MHz, CDCl₃) δ_{C} 9.8, 26.7, 52.3, 61.5, 76.3, 122.6, 122.7, 127.1, 127.4, 128.8, 135.5, 137.3, 147.5, 158.5, 176.1; HRMS (NSI⁺) C₁₇H₂₀NO₃ [M + H]⁺ requires 286.1438, found 286.1439 (+0.5 ppm).

(S)-N-Benzyl-2-((S)-hydroxy(pyridin-2-yl)methyl)-2-phenylbutanamide (40). *syn*-21 (100 mg, 0.039 mmol, 1 equiv) was dissolved in anhydrous CH₂Cl₂ (1.7 mL) in a screw-cap vial under a N₂ atmosphere. Benzylamine (0.22 mL, 1.97 mmol, 5 equiv) and triethylamine (60 μ L, 0.43 mmol, 1.1 equiv) were added, and the solution was heated to 40 °C for 16 h. The reaction mixture was cooled to room temperature before being diluted with CH₂Cl₂ and washed with NH₄Cl (×2) and then brine. The organic layer was dried over MgSO₄ and concentrated. The crude product was purified by silica gel chromatography (99/1 CH₂Cl₂/MeOH) to give (S,S)-40 (72 mg, 54%) as a white solid: mp 157–158 °C (CH₂Cl₂); $[\alpha]_{\text{D}}^{20} = -152.4$ (*c* 0.97, CHCl₃); chiral HPLC analysis Chiralpak AD-H (5% IPA/hexane, flow rate 1 mL min⁻¹, 254 nm, 30 °C) *t*_R (minor) 37.2 min, *t*_R (major) 48.7 min, 83% ee; ν_{max} (film) 3302 (N–H), 1616

(C=O), 1589, 1545; ¹H NMR (300 MHz, CDCl₃) δ_{H} 1.20 (3H, t, *J* = 7.4, CH₃), 1.88–2.00 (1H, m, CH_AH_BCH₃), 2.32–2.44 (1H, m, CH_AH_BCH₃), 4.56 (2H, d, *J* = 5.8, CH₂Ph), 4.76 (1H, br s, OH), 5.59 (1H, s, CHOH), 6.00 (1H, br t, *J* = 5.7, NH), 6.60 (1H, d, *J* = 8.0, C(S)-pyH), 7.01–7.12 (3H, m, ArH), 7.14–7.42 (9H, m, ArH), 8.38–8.42 (1H, m, C(2)-pyH); ¹³C{¹H} NMR (75 MHz, CDCl₃) δ_{C} 9.6, 27.6, 44.0, 60.7, 76.1, 122.3, 122.7, 127.4, 127.6, 127.7, 128.0, 128.8, 129.3, 135.4, 138.1, 138.2, 147.3, 159.3, 176.2; HRMS (NSI⁺) C₂₃H₂₅N₂O₂ [M + H]⁺ requires 361.1911, found 361.1914 (+1.0 ppm).

(3S,4S)-3-Methyl-4-(6-morpholinopyridin-2-yl)-3-phenyloxetan-2-one (41). Pd(OAc)₂ (7.0 mg, 0.031 mmol, 0.10 equiv), (±)-BINAP (39.1 mg, 0.063 mmol, 0.20 equiv), and Cs₂CO₃ (307 mg, 0.942 mmol, 3.0 equiv) were added to an oven-dried vial and suspended in anhydrous toluene (4 mL) under an atmosphere of argon. The suspension was stirred for 20 min before *syn*-26 (100 mg, 0.314 mmol, 1.00 equiv, 86% ee, >95:5 dr) and morpholine (54 μ L, 0.629 mmol, 2.00 equiv) were added. The reaction mixture was heated to 50 °C for 8.5 h then to 80 °C for 4 h. The suspension was cooled to room temperature, filtered through Celite, and concentrated. The crude product was purified by silica gel chromatography (80/20 petroleum ether/Et₂O) to give (S,S)-41 (67 mg, 66%) as a colorless solid: mp 80–82 °C; $[\alpha]_{\text{D}}^{20} = -177.5$ (*c* 0.48, CHCl₃); chiral HPLC analysis Chiralpak AS-H (5% IPA/hexane, flow rate 1.0 mL min⁻¹, 254 nm) *t*_R major (S,S) 25.4 min, *t*_R minor (R,R) 31.0 min, 87% ee; ν_{max} (ATR)/cm⁻¹ 2961, 2365, 1817 (C=O), 1593, 1558, 1473, 1437, 1260, 1244, 1113, 1103, 976, 966, 912, 878, 764; ¹H NMR (300 MHz, CDCl₃) δ_{H} 1.97 (3H, s, CH₃), 3.34 (4H, t, *J* = 4.8, morphCH₂-3,S), 3.74 (4H, t, *J* = 4.8, morphCH₂-2,6), 5.43 (1H, s, CH(Ar)), 6.35 (1H, d, *J* = 8.5, PyH-5), 6.53 (1H, d, *J* = 7.3, PyH-3), 7.05–7.12 (5H, m, PhH), 7.26–7.31 (1H, m, PyH-4); ¹³C{¹H} NMR (75 MHz, CDCl₃) δ_{C} 25.3, 45.5, 66.8, 84.2, 106.5, 111.4, 126.5, 127.2, 128.1, 136.7, 137.9, 153.0, 158.6, 173.3; HRMS (ESI) C₁₉H₂₁O₃N₂ [M + H]⁺ requires 325.1547, found 325.1552.

***tert*-Butyl 5-Methoxy-2-(6-((2S,3S)-3-methyl-4-oxo-3-phenyloxetan-2-yl)pyridin-2-yl)-1H-indole-1-carboxylate (42).** Pd(PPh₃)₄ (36.3 mg, 0.031 mmol, 0.10 equiv), *syn*-26 (100 mg, 0.314 mmol, 1.00 equiv, 86% ee, >95:5 dr), and (1-(*tert*-butoxycarbonyl)-5-methoxy-1H-indol-2-yl)boronic acid (110 mg, 0.377 mmol, 1.20 equiv) were added to an oven-dried vial and suspended in DME (5 mL) under an atmosphere of argon. Na₂CO₃ (2 M; 0.47 mL, 0.377 mmol, 3.0 equiv) was added, and the reaction mixture was heated to 85 °C for 4 h. The solution was cooled to room temperature before being diluted with EtOAc and washed with water. The organic phase was dried over MgSO₄ and concentrated. The crude product was purified by silica gel chromatography (90/10 petroleum ether/EtOAc) to give (S,S)-42 (140 mg, 92%) as a pale yellow oil: $[\alpha]_{\text{D}}^{20} = -263.6$ (*c* 0.39, CHCl₃); chiral HPLC analysis Chiralpak AD-H (5% IPA/hexane, flow rate 1.0 mL min⁻¹, 254 nm) *t*_R major (S,S) 13.7 min, *t*_R minor (R,R) 20.6 min, 89% ee; ν_{max} (ATR)/cm⁻¹ 2976, 1830 (C=O), 1732 (C=O), 1593, 1574, 1454, 1369, 1319, 1219, 1159, 1121, 1099, 1059, 1032, 984, 905, 849, 804, 760; ¹H NMR (400 MHz, CDCl₃) δ_{H} 1.39 (9H, s, OC(CH₃)₃), 1.99 (3H, s, CCH₃), 3.88 (3H, s, OCH₃), 5.72 (1H, s, CH(Ar)), 6.60 (1H, s, IndH-3), 6.97–7.01 (2H, m, HetArH), 7.06 (1H, d, *J* = 2.6, HetArH), 7.08–7.16 (5H, m, PhH), 7.23 (1H, dd, *J* = 7.8, 0.8, HetArH), 7.47 (1H, t, *J* = 7.8, HetArH), 8.05 (1H, d, *J* = 9.1, PyH-3); ¹³C{¹H} NMR (100 MHz, CDCl₃) δ_{C} 25.1, 27.9, 55.8, 67.0, 83.7, 84.5, 103.4, 111.5, 114.2, 116.2, 119.5, 123.2, 126.8, 127.5, 128.4, 129.7, 132.4, 135.9, 136.3, 139.4, 150.0, 152.5, 154.8, 156.2, 172.8; HRMS (ESI) C₂₉H₂₉O₅N₂ [M + H]⁺ requires 485.2071, found 485.2068.

■ ASSOCIATED CONTENT

Supporting Information

Figures giving ¹H and ¹³C{¹H} NMR spectra and HPLC traces of all β -lactones and derivatization products and CIF files giving X-ray crystallographic data for *syn*-7 and *syn*-21, 25, and 27. This material is available free of charge via the Internet at <http://pubs.acs.org>.

AUTHOR INFORMATION

Corresponding Author

*E-mail for A.D.S.: ads10@st-andrews.ac.uk.

Notes

The authors declare no competing financial interest.

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