# NHC-Promoted Asymmetric $\beta$ -Lactone Formation from Arylalkylketenes and Electron-Deficient Benzaldehydes or Pyridinecarboxaldehydes

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Supporting Information

**ABSTRACT:** A chiral NHC catalyzes the asymmetric formal [2 + 2] cycloaddition of alkylarylketenes with both electrondeficient benzaldehydes and 2- and 4-pyridinecarboxaldehydes to generate stereodefined  $\beta$ -lactones. In the benzaldehyde series, optimal product diastereo- and enantiocontrol is observed using 2-nitrobenzaldehyde (up to 93:7 dr (*syn:anti*) and 93% ee). Substituted 2- and 4-pyridinecarboxaldehydes are also tolerated in this process, generating the corresponding  $\beta$ -lactones in good yield and enantioselectivity, although the



diastereocontrol in these processes is highly dependent upon the aldehyde substitution. These processes are readily scalable, allowing multigram quantities of the  $\beta$ -lactone products to be prepared. Derivatization of these products, either through ring opening into the corresponding stereodefined  $\beta$ -hydroxy and  $\beta$ -amino acid derivatives without loss of stereochemical integrity or via cross-coupling, is demonstrated.

## INTRODUCTION

The  $\beta$ -lactone motif is of widespread interest in chemistry, serving as a versatile starting material in complex molecule<sup>1</sup> and building block synthesis<sup>1b,2</sup> and a monomer in biodegradable polymer synthesis,<sup>3</sup> as well as being the core structure in a range of natural products with notable pharmacological properties.<sup>4</sup> A number of synthetic methods have been used to prepare these scaffolds in enantioenriched form,<sup>5</sup> ranging from substrate<sup>5b</sup> and chiral auxiliary<sup>6</sup> controlled processes to catalytic asymmetric methods.<sup>7</sup> Within the area of asymmetric Lewis base catalysis, a variety of strategies have been utilized to promote the catalytic asymmetric formation of  $\beta$ -lactones from ketenes and aldehydes.8 Building on the pioneering work of Wynberg using cinchona alkaloid catalysts,<sup>9</sup> the work of the Nelson,<sup>10</sup> Romo,<sup>11</sup> and Calter<sup>12</sup> groups has expanded this approach, with a range of elegant intra- and intermolecular strategies developed. Although versatile, typical limitations of the intermolecular process using cinchona derivatives include the requirement for parent or monosubstituted ketene(s) and aliphatic aldehydes for good reactivity. To date, relatively few studies have been reported that use disubstituted ketenes and benzaldehydes in catalytic asymmetric  $\beta$ -lactone formation. In this area, Fu has shown that the planar chiral 4-(pyrrolidino)pyridine (PPY) derivative 1 promotes asymmetric  $\beta$ -lactone formation from symmetrical dialkylketenes and benzaldehydes with high enantioselectivity (up to 91% ee; Scheme 1).13 Kerrigan has recently shown that BINAPHANE 2 can be used to promote asymmetric  $\beta$ -lactone formation from alkylarylketenes and 4-substituted benzaldehydes, giving preferentially

Scheme 1. Previous Lewis Base Promoted Asymmetric  $\beta$ -Lactone Formation from Disubstituted Ketenes and Benzaldehydes



anti- $\beta$ -lactones with high diastereo- and enantiocontrol (up to 94:6 dr and 92% ee).<sup>14</sup>

Ye has previously utilized NHCs to promote  $\beta$ -lactone formation from alkylarylketenes using trifluoromethylketones<sup>15</sup> (up to >20:1 dr, 99% ee) or activated 2-oxo aldehydes<sup>16</sup> (up to >20:1 dr, 99% ee; Scheme 2). Notably, the use of ethyl(2-chlorophenyl)ketene is a necessary substrate constraint for high diastereoselectivity in the latter process, with 4-chlorobenzal-

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Scheme 2. Previous and Proposed Asymmetric  $\beta$ -Lactone Formation from Disubstituted Ketenes and Aldehydes Using NHCs





dehyde proving inactive to  $\beta$ -lactone formation in this study. Building upon these precedents and our interest in NHCmediated asymmetric processes,<sup>17</sup> we now report the development of an alternative and scalable NHC-promoted asymmetric  $\beta$ -lactone synthesis from alkylarylketenes and both benzaldehydes bearing electron-withdrawing substituents and pyridinecarboxaldehydes. Furthermore, derivatization of the  $\beta$ -lactone products, either through ring opening into the corresponding stereodefined  $\beta$ -hydroxy and  $\beta$ -amino acid derivatives or via cross-coupling, is demonstrated.

#### RESULTS AND DISCUSSION

Evaluating NHC-Promoted  $\beta$ -Lactone Formation using Benzaldehydes. As NHCs are known to promote benzoin reactions of benzaldehydes<sup>18</sup> as well as ketene dimerization processes,<sup>19</sup> at the outset of our investigations these were recognized as possible competitive reaction manifolds. NHC precatalyst 3 was chosen for our studies, given its precedent to participate in enantioselective cycloaddition processes using ketenes, despite its moderate reactivity in benzoin reactions.<sup>20</sup> Initial studies employed ethylphenylketene and triazolium precatalyst 3 with a range of substituted benzaldehydes (Table 1). While benzaldehyde gave no  $\beta$ lactone products (giving only ketene dimer), promising reactivity was observed with electron-deficient benzaldehydes utilizing dropwise ketene addition to minimize dimerization. The reactions using 4-(trifluoromethyl)- or 4-nitrobenzaldehyde performed at 0 °C gave good yields of  $\beta$ -lactone product (5 and 6) with moderate levels of anti diastereoselectivity (entries 2 and 3).<sup>21</sup> Improved enantiocontrol was achieved at the detriment of product conversion at -78 °C using 4nitrobenzaldehyde (entry 4).<sup>22</sup> Using 2-nitrobenzaldehyde, high syn diastereoselectivity (89:11 syn:anti) was observed at 0 °C, giving the major syn diastereoisomer 7 in 94% ee (entry 5). Further optimization using 2-nitrobenzaldehyde was achieved through lowering the reaction temperature, with consistently high levels of diastereo- and enantioselectivity observed (entries 6 and 7). Interestingly, lowering the reaction temperature below -50 °C had a detrimental effect on product

Ph-	et H	Рh Ph Ar кн	→ N ← N → N ← OTBS 3 3 (10 mol%) HMDS (9 mol%) toluene, T	BF4 Ph M) Ph''' Et 3,4-syn	• • • • • • • • • • • • • • • • • • •	-O Ar -anti
Entry	Ar	T∕°C	dr <sup>a</sup>	Major	Yield % <sup>b</sup>	ee % <sup>c</sup>
Liftiy			(syn:anti)	product	(syn,anti)	(syn,anti)
$1^d$	Ph	0	-:-	-	-,-	-,-
2 <sup>e</sup>	4-CF <sub>3</sub> C <sub>6</sub> H <sub>4</sub>	0	30:70		27,55	80,38
3 <sup>f</sup>	4-NO <sub>2</sub> C <sub>6</sub> H <sub>4</sub>	0	40:60	Ph'''	30,50	81,14
4′		-78 to rt	40:60	6 NO <sub>2</sub>	7,34	97,54
5 <sup>f</sup>		0	89:11	∞~0	86,-	94,-
6	2 NO C H	-25	92:8	Ph''	77,-	97,-
7	2-110206114	-50	93:7	Et 🜔	83,-	93,-
8		-78	89:11	7	86,-	82,-
9 <sup>g</sup>	2-FC <sub>6</sub> H₄	-50	50:50	×	29	87,69
$10^{g}$	2-ClC <sub>6</sub> H <sub>4</sub>	-50	52:48	Et	36	87,76
11 <sup>g</sup>	$2-BrC_6H_4$	-50	56:44	8 X=F 9 X=CI 10 X=Br	25	95,71

Table 1. Initial Screening of Benzaldehydes for NHC-

Promoted  $\beta$ -Lactone Synthesis<sup>h</sup>

<sup>*a*</sup>dr determined by <sup>1</sup>H NMR analysis of the crude reaction mixture. <sup>*b*</sup>Isolated yield of single diastereoisomer. <sup>*c*</sup>ee determined by HPLC analysis. <sup>*d*</sup>Ketene added in a single portion. <sup>*e*</sup>1.5 equiv of aldehyde. <sup>*f*</sup>1.2 equiv of aldehyde. <sup>*g*</sup>Isolated yield and ee of products after ring opening into the corresponding  $\beta$ -hydroxy acids and derivatization. <sup>*h*</sup>Unless stated, the ketene was added dropwise as a solution in toluene.

ee (entry 8), postulated to be due to a competitive KHMDScatalyzed racemic pathway at this temperature.<sup>23</sup> The absolute and relative configurations within 7 were proven through X-ray crystallographic analysis.<sup>24</sup> Following the promising reactivity and stereoselectivity observed using 2-nitrobenzaldehyde, the ability of 2-halobenzaldehydes to participate in this reaction process was investigated. 2-Fluoro-, 2-chloro-, and 2-bromobenzaldehyde all gave the corresponding  $\beta$ -lactones (8–10) with poor dr (entries 9–11),<sup>25</sup> consistent with the 2-nitro substituent being a necessary constraint for optimal diastereoselectivity.<sup>26</sup>

With 2-nitrobenzaldehyde identified as giving optimum diastereo- and enantiocontrol in this process, its scope and limitations were explored through variation of the ketene component (Table 2). First, a series of alkylphenylketenes were reacted under the optimized conditions. Incorporation of a methyl substituent led to a decrease in dr while maintaining high levels of enantioselectivity for both diastereoisomers of product **11** (entry 1). Ethyl and *n*-butyl substitution gave  $\beta$ -lactones 7 and **12** in high dr and ee, while isobutyl incorporation leads to high dr but poor ee (entries 2–4). Variation of the aryl unit within a series of ethylarylketenes was also investigated, with the incorporation of both electron-withdrawing and electron-donating substituents providing  $\beta$ -lactones **14–18** in high dr and ee (entries 5–9). Further substrate variation showed that isopropyl(3-thiophene)ketene

#### Table 2. Reaction Scope and Limitations Employing 2-Nitrobenzaldehyde<sup>g</sup>

	Ar R H	D NO	3 (10 mol%) 2 KHMDS (9 mol toluene, -50 °	%) C AP, NO2 + A 3,4-syn	0 0 R 3,4-anti	NO <sub>2</sub>
Entry	Ar	R	dr <sup>a</sup> (syn:anti)	Major product	Yield % <sup>b</sup>	ee % <sup>c</sup> (syn,anti)
1	Ph	Me	43:57	Phillippine NO2	65	90,90
$2^d$	Ph	Et	93:7	Phillipping NO2	83	93,-
3 <sup><i>d,e</i></sup>	Ph	<i>n</i> -Bu	94:6	Philip NO <sub>2</sub> n-Bu 12	74	89, –
4	Ph	<i>i</i> -Bu	94:6	Ph''') <i>i-Bu</i> 13	30	<10%,-
5	4-FC <sub>6</sub> H <sub>4</sub>	Et	93:7		69	85, –
6	4-ClC <sub>6</sub> H <sub>4</sub>	Et	93:7		79	86, -
7	4-BrC <sub>6</sub> H <sub>4</sub>	Et	94:6	Br Et 16	68	92, –
8	4-MeC <sub>6</sub> H <sub>4</sub>	Et	90:10		52	83, -
9 <sup>f</sup>	4-MeOC <sub>6</sub> H <sub>4</sub>	Et	86:14		75	86, -
10	2-thienyl	<i>i</i> -Pr	45:55	S I-Pr 19	35	58,92
11 12	2-CH <sub>3</sub> C <sub>6</sub> H <sub>4</sub> 1-naphthyl	Et Et				_

<sup>*a*</sup>dr determined by <sup>1</sup>H NMR analysis of the crude reaction mixture. <sup>*b*</sup>Isolated yield of mixture of diastereoisomers. <sup>*c*</sup>ee determined by HPLC analysis. <sup>*d*</sup>Isolated yield of single diastereoisomer. <sup>*e*</sup>ee determined by HPLC analysis via ring opening with NaN<sub>3</sub>. <sup>*f*</sup>1.2 equiv of ketene and 1.0 equiv of aldehyde used. <sup>*g*</sup>The ketene was added dropwise as a solution in toluene.

gave  $\beta$ -lactone **19** with poor dr, although the *anti* diastereoisomer was formed in high ee (entry 10). The NHC-catalyzed reaction of either ethyl(2-tolyl)- or ethyl(1-naphthyl)ketene with 2-nitrobenzaldehyde gave no  $\beta$ -lactone products, returning the aldehyde starting material (entries 11 and 12). Notably, the observed trend in product diastereoselectivity using NHC-mediated catalysis (reduced dr for methylarylketenes) is opposite to that observed by Kerrigan using phosphine catalysis (high dr for methylarylketenes, reduced dr for *n*-butylarylketenes).<sup>14</sup>

Evaluating NHC-Promoted  $\beta$ -Lactone Formation Using Pyridinecarboxaldehydes. Although the use of 2nitrobenzaldehyde allows efficient access to a range of  $\beta$ - lactones in good yield and typically excellent diastereo- and enantiocontrol, the limited scope of this methodology due to the requirement for a 2-nitro substituent for reasonable diastereoselectivity reduces its synthetic versatility. To address this, the ability of heteroaromatic aldehydes to participate in this methodology was investigated.<sup>27</sup> Accordingly, furfural and all isomers of pyridinecarboxaldehyde were identified as possible participants in this protocol. The formation of chiral pyridine containing compounds is of significance due both to their use in asymmetric catalysis<sup>28</sup> and their appearance in biologically relevant molecules.<sup>29</sup> Although a number of methods have previously been developed to prepare these

motifs,<sup>30</sup> enantiomerically enriched pyridyl substituted  $\beta$ -lactones, to the best of our knowledge, are unreported.<sup>31</sup>

While both furfural and 3-pyridinecarboxaldehyde gave the corresponding  $\beta$ -lactone products with unsatisfactory levels of conversion (<10%), 2- and 4-pyridinecarboxaldehyde both proved to be efficient coupling partners with ethylphenylketene (Scheme 3). Notably, 2-pyridinecarboxaldehyde preferentially





gave the *syn* diastereoisomer **21** (74:26 *syn:anti*), while 4pyridinecarboxaldehyde preferentially gave the *anti* diastereoisomer **20** (17:83 *syn:anti*), consistent with the differences in *syn:anti* product distributions previously observed using 2- and 4-nitrobenzaldehydes.<sup>32</sup> The absolute and relative configuration within  $\beta$ -lactone **21** was unambiguously identified via X-ray crystallographic analysis,<sup>33</sup> consistent with the sense of asymmetric induction previously observed using 2-nitrobenzaldehyde.

Subsequent studies probed the generality of this process through variation of the alkylarylketene and through the effect of substitution within the 2-pyridinecarboxaldehyde (Table 3).<sup>33</sup> Using 2-pyridinecarboxaldehyde, variation of either the aryl unit within the ketene or the alkyl chain length had little effect upon reaction stereoselectivity, with *syn-β*-lactones **22**– **25** formed in good dr and ee (entries 1–4). The effect of both electronic and steric perturbation within the 2-pyridyl motif was next investigated. Using methylphenylketene, 6-bromo-2pyridinecarboxaldehyde gave *syn-β*-lactone **26** (70% combined yield, 80:20 dr *syn:anti*, 86% ee *syn*), while 3-bromo-2pyridinecarboxaldehyde displayed a reversal in diastereoselectivity (18:82 *syn:anti*) and excellent enantioselectivity for the major *anti* diastereoisomer **27** (91% ee), albeit with moderate conversion into product (entries 5 and 6).

The compatibility of a range of 4-pyridinecarboxaldehyde derivatives of increasing complexity with the methodology was next investigated (Table 4). The use of 3-fluoro-4-pyridinecarboxaldehyde resulted in a switch in diastereoselectivity in comparison with the parent 4-pyridinecarboxaldehyde, giving moderate diastereocontrol in favor of *syn* stereoisomer **28** (*syn:anti* 63:37, entry 1). 4-Quinolinecarboxaldehyde gave *anti*- $\beta$ -lactone **29** with excellent diastereoselectivity (3:97 *syn:anti*) and promising enantioselectivity (82% ee *anti*) in 57% yield (entry 2). The use of a complex 3,5-disubstituted 4-pyridinecarboxaldehyde gave  $\beta$ -lactone **30** with no diastereocontrol, providing readily separable *syn-* and *anti*-lactones (50:50 dr) in good yield and moderate enantioselectivity (70% combined yield, 76% ee *syn*, 74% ee *anti*, entry 3).

**Postulated Reaction Mechanism.** While Kerrigan favors Lewis base addition to the aldehyde as the initial step in the phosphine-catalyzed  $\beta$ -lactone synthesis from ketenes and benzaldehydes, in this NHC-mediated process, concurrent with the ideas of Ye, we propose initiation through NHC



Ar	R <sup>+</sup> H 1.2 equi	N X	3 (10 mol% KHMDS (9 mo toluene, –50	b) 0 0 0 0 0 0 0 0 0 0 0 0 0		-O N anti
Entry	Ar	R	dr <sup>a</sup> (syn:anti)	Major product	Yield $\%^b$	ee % <sup>c</sup> (syn,anti)
1	Ph	Ме	77:23	Philip N Me	78	88,86
2 <sup><i>d</i></sup>	Ph	<i>n</i> -Bu	82:18	Philip N n-Bu 23	62	84,37
3 <sup><i>d</i></sup>	4-FC <sub>6</sub> H <sub>4</sub>	Et	82:18	F Et 24	73	79,42
4	4-BrC <sub>6</sub> H <sub>4</sub>	Et	80:20		45	80,-
5	Ph	Me	80:20	Phillip N Me 26	70	86,67
6	Ph	Me	18:82	Ph" Me Br	14	-,91

<sup>*a*</sup>dr determined by <sup>1</sup>H NMR analysis of the crude reaction mixture. <sup>*b*</sup>Isolated yield of separable diastereoisomers. <sup>*c*</sup>ee determined by HPLC analysis. <sup>*d*</sup>1.1 equiv of ketene and 1.0 equiv of aldehyde used. <sup>*e*</sup>The ketene was added dropwise as a solution in toluene.

Table 4. NHC-Promoted  $\beta$ -Lactone Formation Using 4-Pyridinecarboxaldehyde Derivatives<sup>e</sup>



<sup>*a*</sup>dr determined by <sup>1</sup>H NMR analysis of the crude reaction mixture. <sup>*b*</sup>Isolated yield of separable diastereoisomers. <sup>*c*</sup>ee determined by HPLC analysis. <sup>*d*</sup>1.1 equiv of ketene and 1.0 equiv of aldehyde used. <sup>*e*</sup>The ketene was added dropwise as a solution in toluene.

addition to the ketene (Scheme 4). Addition of in situ generated NHC 31 to ketene 32 *anti* to the aryl unit generates azolium enolate intermediate 33. Subsequent concerted but asynchronous formal [2 + 2] cycloaddition with electron-deficient benzaldehydes or 2- and 4-pyridinecarboxaldehydes yields zwitterionic intermediate 34, with subsequent catalyst

#### Scheme 4. Proposed Mechanism



regeneration and  $\beta$ -lactone formation. The consistency of the configuration at the C(3) position of all the major diastereoisomeric  $\beta$ -lactone products observed herein is congruent with a favorable reaction upon the *Re*-face addition of the azolium enolate **33**. However, given the obvious subtle interplay between steric and electronic factors within the aldehyde component that leads to diastereocontrol in these processes, a full rationale for the differing observed *syn* or *anti* selectivities with change in the aldehyde unit is at best speculative.

**Scaleup and Derivatization Procedures.** To demonstrate the synthetic utility of this methodology, the reaction of ethylphenylketene with 2-nitrobenzaldehyde could be conveniently carried out on a preparative scale with reduced NHC loadings (2.5 mol %), providing >2.5 g of  $\beta$ -lactone 7 as a single diastereoisomer after purification in high ee (83% yield, 95% ee), which could be recrystallized to enantiopurity. Using a higher precatalyst 3 loading of 10 mol %, 2-pyridyl  $\beta$ -lactone **21** could also be obtained as a single diastereoisomer on a >3.5 g scale after purification (74% yield, 82:18 dr *syn:anti*) and in good enantioselectivity for the major *syn*  $\beta$ -lactone (83% ee) (Scheme 5).

Functionalization of  $\beta$ -lactones 7 and 21 was subsequently achieved through ring opening with either azide or hydroxide to give the corresponding  $\alpha,\alpha$ -disubstituted  $\beta$ -amino and  $\beta$ hydroxy acid derivatives 36–39 as single diastereoisomers without loss of enantiopurity (Scheme 6).<sup>13,34</sup> Alternatively, 21





"Combined isolated yield of separable diastereoisomers. <sup>b</sup>ee determined by HPLC analysis.

Finally, further complexity within the pyridyl substituted  $\beta$ lactone series could be obtained via palladium cross coupling of  $\beta$ -lactone **26** bearing a bromine substituent (Scheme 7). Both Buchwald–Hartwig<sup>35</sup> and Suzuki<sup>36</sup> couplings of **26** with morpholine and indole **43**, respectively, provided highly complex  $\beta$ -lactone frameworks **41** and **42** in good yield (66% and 92% yields, respectively) and with no erosion of enantiopurity.

#### CONCLUSION

In summary, an efficient and scalable methodology for the stereocontrolled formation of  $\beta$ -lactones catalyzed by chiral NHCs has been developed. The reaction of a range of disubstituted ketenes with either 2-nitrobenzaldehyde or a variety of 2- and 4-pyridinecarboxaldehydes proceeds with generally excellent levels of enantio- and diastereoselectivity. Importantly, this methodology expands the scope of the formal [2 + 2] cycloaddition between ketenes and aldehydes to heteroaromatic aldehydes for the first time, allowing access to highly functionalized novel structural architectures. Notably, no competing benzoin or significant formation of ketene dimerization products was observed under the reaction conditions, with the  $\beta$ -lactones readily transformed into useful synthetic building blocks. Further studies focusing upon the generation and reaction of azolium enolates in NHC-mediated catalysis are underway, alongside mechanistic and kinetic investigations to advance our understanding of the reaction dynamics in these systems.

#### EXPERIMENTAL SECTION

General Considerations. All reactions were performed in flamedried glassware using anhydrous solvents. The required aldehydes were purified by Kugelrohr distillation under reduced pressure prior to use. All other reagents were obtained from commercial sources and were used without further purification. Room temperature refers to 20-25 °C, with temperatures between 0 and -50 °C obtained using an immersion cooler. <sup>1</sup>H NMR spectra were acquired at 300, 400, or 500 MHz,  $^{13}\mathrm{C}\{^{1}\mathrm{H}\}$  NMR spectra were acquired at 75, 100, or 125 MHz, and <sup>19</sup>F{<sup>1</sup>H} NMR spectra were acquired at 376 MHz. Chemical shifts are quoted in parts per million (ppm) relative to the residual solvent peak; coupling constants, J, are quoted in hertz (Hz). NMR peak assignments were confirmed using 2D <sup>1</sup>H COSY and 2D <sup>1</sup>H NOESY where necessary. Infrared spectra were recorded as thin films on either NaCl plates or KBr disks. Mass spectrometry (m/z) data were acquired using electrospray ionization (ESI), electron impact (EI), atmospheric solids analysis probe (ASAP), or nanospray ionization (NSI) using a TOF mass analyzer. Optical rotations were recorded with a path length of 1 dm, and concentrations, c, are quoted in g/100 mL. All chiral HPLC traces were compared with an authentic racemic trace prepared using racemic 3.

General Procedure for the Preparation of Ketenes. A flamedried two-neck round-bottom flask separated by a sintered adaptor to a second two-neck round-bottom flask under an argon atmosphere was charged with anhydrous  $Et_2O$  and the appropriate acid chloride (1 equiv) before being cooled to 0 °C.  $Et_3N$  (1.1 equiv) was added dropwise over 30 min, and the reaction mixture was stirred overnight at 0 °C. The solution was warmed to room temperature and filtered through the sintered adaptor into the second flask and concentrated. The crude oil was transferred via cannula into a flame-dried Kugelrohr flask and purified by distillation.

*Ethylphenylketene.* Prepared according to the general procedure from 2-phenylbutanoyl chloride (3.00 g, 16.4 mmol) and Et<sub>3</sub>N (2.52 mL, 18.1 mmol) in Et<sub>2</sub>O (45 mL). The crude oil was purified via Kugelrohr distillation 80–90 °C (5 mbar) {lit.<sup>37</sup> 70 °C (0.5 Torr)} to



<sup>*a*</sup>ee determined by HPLC analysis via conversion into NBn, OBn derivative. <sup>*b*</sup>ee determined by HPLC analysis via conversion into OBn ester. <sup>*c*</sup>ee determined by HPLC analysis via conversion into Me ester.

#### Scheme 7. Functionalization of $\beta$ -Lactones by Cross-Coupling



give ethylphenylketene (1.40 g, 60%) as a light yellow oil that is stable for up to 2 months in the freezer under an argon atmosphere: <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.24 (3H, t, *J* = 7.4, CH<sub>2</sub>CH<sub>3</sub>), 2.44 (2H, q, *J* = 7.4, CH<sub>2</sub>CH<sub>3</sub>), 7.02–7.10 (3H, m, ArH), 7.28–7.35 (2H, m, ArH).

*Methylphenylketene*. Prepared according to the general procedure from 2-phenylpropanoyl chloride (4.05 g, 24.0 mmol) and Et<sub>3</sub>N (3.34 mL, 24.0 mmol) in Et<sub>2</sub>O (50 mL). The crude oil was purified via Kugelrohr distillation at 60–80 °C (5 mbar) {lit.<sup>37</sup> 50 °C (4 Torr)} to give methylphenylketene (1.44 g, 45%) as a yellow-orange oil: <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.92 (3H, s, CH<sub>3</sub>), 6.93–6.95 (2H, m, ArH), 6.97–7.01 (1H, m, ArH), 7.21–7.25 (2H, m, ArH).

Butylphenylketene. Prepared according to the general procedure from 2-phenylhexanoyl chloride (3.00 g, 14.2 mmol) and Me<sub>2</sub>EtN (1.70 mL, 15.7 mmol) in Et<sub>2</sub>O (45 mL). The crude oil was purified via Kugelrohr distillation at 110–120 °C (5 mbar) to give butylphenylketene (1.26 g, 51%) as a yellow-orange oil: <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  0.87 (3H, t, J = 7.2, *n*BuH), 1.30–1.53 (4H, m, *n*BuH), 2.32 (2H, t, J = 7.4, *n*BuH), 6.93–7.01 (3H, m, ArH), 7.19–7.25 (2H, m, ArH).

*Isobutylphenylketene*. Prepared according to the general procedure from 4-methyl-2-phenylpentanoyl chloride (3.80 g, 18.0 mmol) and Me<sub>2</sub>EtN (2.15 mL, 20.0 mmol) in Et<sub>2</sub>O (45 mL). The crude oil was purified via Kugelrohr distillation at 110–117 °C (5 mbar) {lit.<sup>38</sup> 37–46 °C (0.8 Torr)} to give isobutylphenylketene (1.75 g, 56%) as a yellow-orange oil: <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  0.92 (6H, d, *J* = 6.6, CH(CH<sub>3</sub>)<sub>2</sub>), 1.66–1.83 (1H, m, CH(CH<sub>3</sub>)<sub>2</sub>), 2.19 (2H, d, *J* = 7.0, CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>), 6.95–7.01, (3H, m, ArH), 7.17–7.25 (2H, m, ArH).

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*Ethyl*(4-fluorophenyl)ketene. Prepared according to the general procedure from 2-(4-fluorophenyl)butanoyl chloride (2.70 g, 13.5 mmol) and EtMe<sub>2</sub>N (1.60 mL, 14.8 mmol) in Et<sub>2</sub>O (40 mL). The crude oil was purified via Kugelrohr distillation at 104–110 °C (7 mbar) to give ethyl(4-fluorophenyl)ketene (1.21 g, 55%) as a yellow-orange oil:  $\nu_{max}$  (thin film)/cm<sup>-1</sup> 2100; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.13 (3H, t, J = 7.4, CH<sub>2</sub>CH<sub>3</sub>), 2.33 (2H, q, J = 7.4, CH<sub>2</sub>CH<sub>3</sub>), 6.87–7.97 (4H, m, ArH); <sup>13</sup>C{<sup>1</sup>H} NMR (75 MHz CDCl<sub>3</sub>):  $\delta_{\rm C}$  12.9, 17.5, 41.1, 116.1 (d, J = 21.7), 125.5 (d, J = 7.6), 128.5 (d, J = 3.1), 160.5 (d, J = 243.4), 205.7.

*Ethyl*(4-chlorophenyl)ketene. Prepared according to the general procedure from 2-(4-chlorophenyl)butanoyl chloride (763 mg, 3.51 mmol) and Et<sub>3</sub>N (0.49 mL, 3.51 mmol) in Et<sub>2</sub>O (20 mL). The crude oil was purified via Kugelrohr distillation at 125–135 °C (7 mbar) to give ethyl(4-chlorophenyl)ketene (0.37 g, 56%) as a yellow-orange oil: <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.34 (3H, t, *J* = 7.4, CH<sub>2</sub>CH<sub>3</sub>), 2.53 (2H, q, *J* = 7.4, CH<sub>2</sub>CH<sub>3</sub>), 7.05–7.09 (2H, m, ArH), 7.37–7.42 (2H, m, ArH).

*Ethyl*(4-bromophenyl)ketene. Prepared according to the general procedure from 2-(4-bromophenyl)butanoyl chloride (5.00 g, 19.1 mmol) and Et<sub>2</sub>MeN (2.30 mL, 21.0 mmol) in Et<sub>2</sub>O (45 mL). The crude oil was purified via Kugelrohr distillation at 180 °C (7 mbar) to give ethyl(4-bromophenyl)ketene (1.21 g, 28%) as a yellow-orange oil:  $\nu_{max}$  (thin film)/cm<sup>-1</sup> 2100; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.13 (3H, t, J = 7.4, CH<sub>2</sub>CH<sub>3</sub>), 2.33 (2H, q, J = 7.4, CH<sub>2</sub>CH<sub>3</sub>), 6.87–7.97 (4H, m, ArH); <sup>13</sup>C{<sup>1</sup>H} NMR (75 MHz, CDCl<sub>3</sub>):  $\delta_{\rm C}$  11.7, 15.9, 40.7, 116.2, 124.4, 130.9, 131.0, 203.2.

*Ethyl*(4-tolyl)ketene. Prepared according to the general procedure from 2-(*p*-tolyl)butanoyl chloride (2.16 g, 11.0 mmol) and Et<sub>3</sub>N (1.53 mL, 11.0 mmol) in Et<sub>2</sub>O (40 mL). The crude oil was purified via Kugelrohr distillation at 110–120 °C (7 mbar) {lit.<sup>19</sup> 68–72 °C (0.2 Torr)} to give ethyl(4-tolyl)ketene (0.92 g, 52%) as a yellow-orange oil: <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.29 (3H, t, *J* = 7.4, CH<sub>2</sub>CH<sub>3</sub>), 2.40 (3H, s, ArCH<sub>3</sub>), 2.50 (2H, q, *J* = 7.4, CH<sub>2</sub>CH<sub>3</sub>), 7.02 (2H, d, *J* = 8.1, ArH), 7.21 (2H, d, *J* = 8.1, ArH).

*Ethyl*(4-methoxyphenyl)ketene. Prepared according to the general procedure from 2-(4-methoxyphenyl)butanoyl chloride (3.00 g, 14.1 mmol) and Et<sub>3</sub>N (3.93 mL, 28.2 mmol) in Et<sub>2</sub>O (45 mL). The crude oil was purified via Kugelrohr distillation at 140–150 °C (3 mbar) to give ethyl(4-methoxyphenyl)ketene (1.19 g, 48%) as a yellow-orange oil:  $\nu_{max}$  (thin film)/cm<sup>-1</sup> 2096; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.21 (3H, t, *J* = 7.4, CH<sub>2</sub>CH<sub>3</sub>), 2.41 (2H, q, *J* = 7.4, CH<sub>2</sub>CH<sub>3</sub>), 3.79 (3H, s, ArCH<sub>3</sub>), 6.86–6.91 (2H, m, ArH), 6.95–6.99 (2H, m, ArH).

*lsopropyl(3-thionyl)ketene.* Prepared according to a literature procedure<sup>39</sup> from 3-methyl-2-(thiophen-3-yl)butanoyl chloride (2.27 g, 11.2 mmol, 1 equiv) and Me<sub>2</sub>EtN (5.46 mL, 50.4 mmol, 4.5 equiv) in THF (30 mL) at 0 °C for 10 min and then room temperature for 4.5 h. The crude oil was purified via Kugelrohr distillation at 85–90 °C

(3 mbar) {lit.<sup>39</sup> 71–73 °C} to give isopropyl(3-thionyl)ketene (1.09 g, 59%) as a yellow-orange oil: <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.25 (6H, d, J = 6.7, CH(CH<sub>3</sub>)<sub>2</sub>), 2.77 (1H, hep, J = 6.7, CH(CH<sub>3</sub>)<sub>2</sub>), 6.79–6.82 (2H, m, ArH), 7.33–7.35 (1H, m, ArH).

General Procedure for NHC-Catalyzed [2 + 2] Cycloadditions. To a flame-dried Schlenk flask under an argon atmosphere were added NHC precursor 3 (0.1 equiv), KHMDS (0.5 M in toluene, 0.09 equiv), and toluene (to give 0.025 M NHC 3), and the mixture was stirred for 15 min. The solution was cooled to -50 °C before the required aldehyde (1.2 equiv) was added, followed by dropwise addition of a solution of the required ketene (1.0 equiv) in toluene (0.17 M) over 30 min. The solution was stirred at room temperature for the time stated before being opened to air and stirred for 30 min. The solution was concentrated in vacuo and the crude product purified by silica gel chromatography.

3-Methyl-4-(2-nitrophenyl)-3-phenyloxetan-2-one (11). Prepared according to the general procedure from NHC precursor 3 (57.0 mg, 0.10 mmol), KHMDS (0.18 mL, 0.09 mmol), methylphenylketene (132 mg, 1.00 mmol), and 2-nitrobenzaldehyde (181 mg, 1.20 mmol) in toluene (10 mL) at -50 °C for 3.5 h. The crude product (dr 43:57 syn:anti) was purified by silica gel chromatography (95/5 petroleum ether/Et<sub>2</sub>O) to give syn-11 (88 mg, 30%) as a colorless solid and anti-11 (103 mg, 35% yield) as a colorless solid. syn-11: mp 68-70 °C;  $\left[\alpha\right]_{D}^{20} = -61$  (c 0.15, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak OJ-H (5% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 220 nm)  $t_{\rm R}$  major (S,R) 32.0 min,  $t_{\rm R}$  minor (R,S) 36.2 min, 90% ee;  $\nu_{\rm max}$  (KBr)/cm<sup>-1</sup> 2925, 1834 (C=O), 1527, 1341, 1351 1246, 1133, 945, 911, 866, 790, 745, 699;  $^{1}\mathrm{H}$  NMR (400 MHz, CDCl\_3)  $\delta_{\mathrm{H}}$  2.20 (3H, s, CH\_3), 6.22 (1H, s, CHlactone), 7.00-7.09 (5H, m, ArH), 7.31-7.35 (1H, m, ArHNO<sub>2</sub>) 7.55 (1H, td, J = 7.5, 1.1, ArHNO<sub>2</sub>), 7.62 (1H, d, J = 7.7, ArHNO<sub>2</sub>), 7.96 (1H, dd, J = 8.2, 1.2, ArHNO<sub>2</sub>); <sup>13</sup>C{<sup>1</sup>H} NMR (75 MHz, CDCl<sub>3</sub>)  $\delta_c$ 22.4, 67.8, 82.1, 124.9, 126.6, 126.7, 128.0, 128.2, 128.5, 129.2, 132.8, 134.2, 134.4, 146.3, 173.0; HRMS (ASAP)  $C_{16}H_{14}O_4N [M + H]^+$ requires 284.0917, found 284.0912 (-1.9 ppm). anti-11: mp 128-130 °C;  $[\alpha]_{D}^{20} = -41$  (c 0.3, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AS-H (5% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 220 nm)  $t_{\rm R}$  major (S,S) 22.5 min,  $t_{\rm R}$  minor (R,R) 30.4 min, 90% ee;  $\nu_{\rm max}$  (KBr)/cm<sup>-1</sup> 2982, 1836 (C=O), 1613, 1578, 1526, 1443, 1342, 1125, 1085, 861, 790, 737, 725, 630; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.34 (3H, s, CH<sub>3</sub>), 6.13 (1H, s, CH-lactone), 7.37-7.56 (5H, m, ArH), 7.60-7.64 (1H, m, ArHNO<sub>2</sub>), 7.84 (1H, td, J = 7.6, 0.9, ArHNO<sub>2</sub>), 7.95 (1H, d, J = 7.8, ArHNO<sub>2</sub>), 8.25 (1H, dd, J = 8.2, 1.0, ArHNO<sub>2</sub>);  ${}^{13}C{}^{1}H{}$  NMR (75) MHz, CDCl<sub>3</sub>) δ<sub>c</sub> 15.5, 65.9, 82.0, 125.5, 126.1, 128.3, 128.5, 129.1, 129.8, 132.3, 134.9, 137.6, 146.8, 173.0; HRMS (ASAP) C<sub>16</sub>H<sub>14</sub>O<sub>4</sub>N [M + H]<sup>+</sup> requires 284.0904, found 284.0912 (+2.8 ppm).

(3S,4R)-3-Ethyl-4-(2-nitrophenyl)-3-phenyloxetan-2-one (7). Prepared according to the general procedure from NHC precursor 3 (28.5 mg, 0.05 mmol), KHMDS (0.09 mL, 0.045 mmol), ethylphenylketene (73.1 mg, 0.50 mmol), and 2-nitrobenzaldehyde (91 mg, 0.60 mmol) in toluene (10 mL) at -50 °C for 3.5 h. The crude product (dr 93:7 syn:anti) was purified by silica gel chromatography (95/5 petroleum ether/Et<sub>2</sub>O) to give syn-7 (157 mg, 83%) as an off-white solid. mp  $58-62 \,^{\circ}C \,[(\pm) \, syn-7 \, mp \, 86 \,^{\circ}C]: \,[\alpha]_{D}^{-20} = -44 \,(c \, 0.45, \, CHCl_3);$  chiral HPLC analysis Chiralpak OJ-H (5% IPA/hexane, flow rate 0.5 mL min<sup>-1</sup>, 220 nm)  $t_R$  major (S,R) 43.7 min,  $t_R$  minor (R,S) 51.1 min, 93% ee;  $\nu_{\rm max}$  (KBr)/cm<sup>-1</sup> 3094, 1768 (C=O), 1646, 1513, 1452, 1377, 1214, 1124, 971, 883, 851, 778, 738; <sup>1</sup>H NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta_{\text{H}}$  1.09 (3H, t, J = 7.4,  $\text{CH}_2\text{CH}_3$ ), 2.51–2.59 (2H, m, CH<sub>2</sub>CH<sub>3</sub>), 6.19 (1H, s, CHlactone), 6.94-7.02 (5H, m, ArH), 7.20-7.27 (1H, m, ArHNO<sub>2</sub>), 7.38–7.48 (2H, m, ArHNO<sub>2</sub>), 7.90 (1H, dd, J = 8.2, 1.2, ArHNO<sub>2</sub>);  ${}^{13}C{}^{1}H$  NMR (75 MHz, CDCl<sub>3</sub>)  $\delta_{c}$  9.5, 29.4, 73.1, 79.4, 124.8, 126.9, 127.9, 128.3, 128.6, 129.1, 134.1, 146.6, 172.2; HRMS (EI) C<sub>17</sub>H<sub>16</sub>O<sub>4</sub>N [M + H]<sup>+</sup> requires 298.1074, found 298.1078 (+1.4 ppm).

(35,4R)-3-Butyl-4-(2-nitrophenyl)-3-phenyloxetan-2-one (12). Prepared according to the general procedure from NHC precursor 3 (57.0 mg, 0.10 mmol), KHMDS (0.18 mL, 0.09 mmol), butylphenylketene (174 mg, 1.00 mmol), and 2-nitrobenzaldehyde (181 mg, 1.20 mmol) in toluene (10 mL) at -50 °C for 3.5 h. The crude product (dr 94:6 *syn:anti*) was purified by silica gel chromatography (95/5 petroleum ether/Et<sub>2</sub>O) to give syn-12 (240 mg, 74%) as an off-white solid, mp 64–66 °C:  $[\alpha]_{\rm D}^{20} = -20$  (c 0.60, CHCl<sub>3</sub>);  $\nu_{\rm max}$  (KBr)/cm<sup>-1</sup> 2958, 1828 (C=O), 1527, 1346, 1117, 918, 790, 744, 701; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  0.93 (3H, t, J = 7.3, nBuCH<sub>3</sub>), 1.24–1.50 (3H, m, nBuH), 1.66–1.81 (1H, m, nBuH), 2.56 (2H, dd, J = 9.3, 7.3, nBuH), 6.25 (1H, s, CH-lactone), 7.00–7.09 (5H, m, ArH), 7.28–7.33 (1H, m, ArHNO<sub>2</sub>), 7.45–7.53 (2H, m, ArHNO<sub>2</sub>), 7.97 (1H, dd, J = 8.2, 1.0, ArHNO<sub>2</sub>); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, CDCl<sub>3</sub>)  $\delta_c$  13.9, 22.9, 27.0, 36.1, 72.4, 79.7, 124.7, 126.7, 127.8, 128.2, 128.5, 129.0, 132.8, 134.0, 134.1, 134.1, 146.5, 172.2; HRMS (ASAP) C<sub>19</sub>H<sub>20</sub>O<sub>4</sub>N [M + H]<sup>+</sup> requires 326.1387, found 326.1381 (–1.8 ppm). syn-12 was ring-opened with NaN<sub>3</sub> and converted into its benzyl ester to allow chiral HPLC analysis: chiral HPLC analysis Chiralpak AD-H (5% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 220 nm)  $t_{\rm R}$  minor 4.9 min,  $t_{\rm R}$  major 6.4 min, 89% ee.

(3S,4R)-3-Isobutyl-4-(2-nitrophenyl)-3-phenyloxetan-2-one (13). Prepared according to the general procedure from NHC precursor 3 (57.0 mg, 0.10 mmol), KHMDS (0.18 mL, 0.09 mmol), isobutylphenylketene (167 mg, 1.00 mmol), and 2-nitrobenzaldehyde (181 mg, 1.20 mmol) in toluene (10 mL) at -50 °C for 6 h. The crude product (dr 94:6 syn:anti) was purified by silica gel chromatography (95/5 petroleum ether/Et<sub>2</sub>O) to give syn-13 (95 mg, 30%) as an off-white solid: mp 78-80 °C;  $[\alpha]_{\rm D}^{20} = 0.0$  (c 0.3, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak OJ-H (5% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 220 nm)  $t_{\rm R}$  10.6 min,  $t_{\rm R}$  12.3 min, <10% ee;  $\nu_{\rm max}$ (KBr)/cm<sup>-1</sup> 2958, 1819 (C=O), 1613, 1582, 1521, 1448, 1341, 1243, 1118, 974, 904, 788, 842, 706, 642, 534; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  0.82 (3H, d, J = 6.7, *i*-BuCH<sub>3</sub>), 1.06 (3H, d, J = 6.7, *i*-BuCH<sub>3</sub>), 1.90 (1H, hep, J = 6.6, i-BuH) 2.44  $(1H, dd, J = 14.6, 6.4, i-BuCH_2)$ , 2.65 (1H, dd, J = 14.6, 6.4, i-BuCH<sub>2</sub>), 6.20 (1H, s, CH-lactone), 7.00-7.11 (5H, m, ArH), 7.26-7.34 (1H, m, ArHNO<sub>2</sub>), 7.41-7.46 (2H, m, Ar $HNO_2$ ), 7.97 (1H, dt,  $J = 8.1, 0.7, ArHNO_2$ );  ${}^{13}C{}^{1}H{} NMR$  (100 MHz, CDCl<sub>3</sub>) δ<sub>c</sub> 23.8, 23.9, 25.4, 45.3, 72.2, 80.1, 124.7, 126.7, 127.7, 128.2, 128.5, 129.0, 132.6, 134.0, 134.3, 146.8, 172.0; HRMS (ASAP)  $C_{19}H_{20}O_4N [M + H]^+$  requires 326.1387, found 326.1382 (-1.5 ppm).

(3S,4R)-3-Ethyl-3-(4-fluorophenyl)-4-(2-nitrophenyl)oxetan-2one (14). Prepared according to the general procedure from NHC precursor 3 (57.0 mg, 0.10 mmol), KHMDS (0.18 mL, 0.09 mmol), ethyl(4-fluorophenyl)ketene (211 mg, 1.20 mmol), and 2-nitrobenzaldehyde (151 mg, 1.00 mmol) in toluene (10 mL) at -50  $^\circ \mathrm{C}$ for 3 h. The crude product (dr 93:7 syn:anti) was purified by silica gel chromatography (95/5 petroleum ether/Et<sub>2</sub>O) to give syn-14 (216 mg, 69%) as a colorless solid: mp 102–104 °C;  $[\alpha]_{\rm D}^{20} = -19$  (c 0.85, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AS-H (5% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 220 nm)  $t_R$  minor (R,S) 11.1 min,  $t_R$  major (S,R) 12.3 min, 85% ee;  $\nu_{\text{max}}$  (KBr)/cm<sup>-1</sup> 2971, 1831 (C=O), 1614, 1605, 1522, 1319, 1353, 1310, 1299, 1225, 1167, 1144, 1118, 1017, 949, 894, 859, 816, 787, 745, 682, 654, 609, 536, 506; <sup>1</sup>H NMR (400 MHz,  $CDCl_3$ )  $\delta_H$  1.15 (3H, t, J = 7.4,  $CH_2CH_3$ ), 2.53–2.68 (2H, m, CH<sub>2</sub>CH<sub>3</sub>), 6.23 (1H, s, CH-lactone), 6.74-7.81 (2H, m, 4-FArH), 7.00-7.07 (2H, m, 4-FArH), 7.33-7.38 (1H, m, ArHNO<sub>2</sub>), 7.53 (2H, dd,  $J = 1.0, 4.9, \text{ArHNO}_2$ ), 8.00 (1H, d,  $J = 8.1, \text{ArHNO}_2$ ); <sup>19</sup>F{<sup>1</sup>H} NMR (376 MHz, CDCl<sub>3</sub>)  $\delta_F$  -114; <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta_c$  9.5, 29.6, 72.4, 79.5, 115.6 (d, *J* = 21.4), 125.0, 128.2, 128.7 (d, J = 8.2), 129.4, 129.7 (d J = 3.1) 132.7, 134.3, 146.6, 162.1 (d, J, J)248), 172.0; HRMS (ASAP)  $C_{17}H_{15}FO_4N [M + H]^+$  requires 316.0980, found 316.0974 (-1.8 ppm).

(35,4R)-3-(4-Chlorophenyl)-3-ethyl-4-(2-nitrophenyl)oxetan-2one (15). Prepared according to the general procedure from NHC precursor 3 (57.0 mg, 0.10 mmol), KHMDS (0.18 mL, 0.09 mmol), ethyl(4-chlorophenyl)ketene (181 mg, 1.00 mmol), and 2-nitrobenzaldehyde (181 mg, 1.20 mmol) in toluene (10 mL) at -50 °C for 3 h. The crude product (dr 93:7 *syn:anti*) was purified by silica gel chromatography (95/5 petroleum ether/Et<sub>2</sub>O) to give *syn*-15 (262 mg, 79%) as an off-white solid: mp 78–80 °C;  $[\alpha]_D^{20} = -15$  (*c* 0.5, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AS-H (5% IPA/hexane, flow rate 0.5 mL min<sup>-1</sup>, 220 nm)  $t_R$  minor (*R*,*S*) 22.5 min,  $t_R$  major (*S*,*R*) 24.9 min, 86% ee;  $\nu_{max}$  (KBr)/cm<sup>-1</sup> 2975, 1822 (C==O), 1614, 1525, 1497, 1343, 1250, 1130, 1014, 939, 900, 860, 840, 792, 745, 685; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.14 (3H, t, J = 7.4, CH<sub>2</sub>CH<sub>3</sub>), 2.52–2.67 (2H, m, CH<sub>2</sub>CH<sub>3</sub>), 6.22 (1H, s, CH-lactone), 6.97–7.08 (4H, m, 4-ClArH), 7.33–7.41 (1H, m, ArHNO<sub>2</sub>), 7.50–7.55 (2H, m, ArHNO<sub>2</sub>), 7.98–8.04 (1H, m, ArHNO<sub>2</sub>); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, CDCl<sub>3</sub>)  $\delta_{\rm c}$  9.4, 29.6, 72.4, 79.3, 125.0, 128.2, 128.3, 128.9, 129.5, 132.5 (2 × C), 134.0, 134.4, 146.7, 171.7; HRMS (ASAP) C<sub>17</sub>H<sub>15</sub>ClO<sub>4</sub>N [M + H]<sup>+</sup> requires 332.0684, found 332.0681 (-0.9 ppm).

(3S,4R)-3-(4-Bromophenyl)-3-ethyl-4-(2-nitrophenyl)oxetan-2one (16). Prepared according to the general procedure from NHC precursor 3 (57.0 mg, 0.10 mmol), KHMDS (0.18 mL, 0.09 mmol), ethyl(4-bromophenyl)ketene (225 mg, 1.00 mmol), and 2-nitrobenzaldehyde (181 mg, 1.20 mmol) in toluene (10 mL) at -50 °C for 3 h. The crude product (dr 94:6 syn:anti) was purified by silica gel chromatography (95/5 petroleum ether/Et<sub>2</sub>O) to give syn-16 (257 mg, 68%) as a pale brown solid: mp 102–104 °C;  $[\alpha]_D^{20} = -14$  (c 0.5, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AS-H (5% IPA/hexane, flow rate 0.2 mL min<sup>-1</sup>, 220 nm)  $t_{\rm R}$  minor (R,S) 56.8 min,  $t_{\rm R}$  major (S,R) 61.7 min, 92% ee;  $\nu_{\text{max}}$  (KBr)/cm<sup>-1</sup> 2974, 1821 (C=O), 1613, 1526, 1494, 1342, 1249, 1129, 1077, 1011, 957, 938, 899, 860, 839, 791, 744, 721, 652, 517; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.14 (3H, t, J = 7.4, CH<sub>2</sub>CH<sub>3</sub>), 2.52-2.66 (2H, m, CH<sub>2</sub>CH<sub>3</sub>), 6.22 (1H, s, CH-lactone), 6.91-6.96 (2H, m, 4-BrArH), 7.19-7.23 (2H, m, 4-BrArH), 7.34-7.41 (1H, m, ArHNO<sub>2</sub>), 7.50-7.55 (2H, m, ArHNO<sub>2</sub>), 8.00-8.04 (1H, m, ArHNO<sub>2</sub>);  ${}^{13}C{}^{1}H$  NMR (100 MHz, CDCl<sub>3</sub>)  $\delta_c$  9.4, 29.6, 72.5, 79.3, 122.2, 125.0, 128.2, 128.6, 129.5, 131.8, 132.5, 133.1, 134.5, 146.7, 171.7; HRMS (ASAP)  $C_{17}H_{15}BrO_4N [M + H]^+$  requires 376.0184, found 376.0176 (-0.8 ppm).

(3S,4R)-3-Ethyl-4-(2-nitrophenyl)-3-(p-tolyl)oxetan-2-one (17). Prepared according to the general procedure from NHC precursor 3 (57.0 mg, 0.10 mmol), KHMDS (0.18 mL, 0.09 mmol), ethyl(4tolyl)ketene (167 mg, 1.00 mmol), and 2-nitrobenzaldehyde (181 mg, 1.20 mmol) in toluene (10 mL) at -50 °C for 3 h. The crude product (dr 90:10 syn:anti) was purified by silica gel chromatography (95/5 petroleum ether/Et<sub>2</sub>O) to give syn-17 (162 mg, 52%) as an off-white solid: mp 100–102 °C;  $[\alpha]_{D^{20}} = -39$  (c 0.25, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AD-H (5% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 220 nm)  $t_{\rm R}$  minor (R,S) 9.6 min,  $t_{\rm R}$  major (S,R) 10.5 min, 83% ee;  $\nu_{\rm max}$ (KBr)/cm<sup>-1</sup> 2975, 1825 (C=O), 1612, 1526, 1341, 1236, 1134, 1104, 951, 937, 890, 858, 837, 742, 722, 697, 520; <sup>1</sup>H NMR (300 MHz,  $CDCl_3$ )  $\delta_H 1.14$  (3H, t, J = 7.4,  $CH_2CH_3$ ), 2.14 (3H, s,  $ArCH_3$ ), 2.52– 2.66 (2H, m, CH<sub>2</sub>CH<sub>3</sub>), 6.23 (1H, s, CH-lactone), 6.82-6.93 (4H, m, 4-tolylArH), 7.31 (1H, ddd, J = 8.5, 6.8, 1.8, ArHNO<sub>2</sub>), 7.47-7.55 (2H, m, ArHNO<sub>2</sub>), 7.98 (1H, dd, J = 8.3, 1.1, ArHNO<sub>2</sub>); <sup>13</sup>C{<sup>1</sup>H} NMR (75 MHz, CDCl<sub>3</sub>) δ<sub>c</sub> 9.5, 21.0, 29.5, 72.7, 79.6, 124.8, 126.7, 128.3, 129.1, 129.3, 130.7, 133.0, 134.2, 137.6, 146.5, 172.4; HRMS (ASAP)  $C_{18}H_{18}O_4N [M + H]^+$  requires 312.1230, found 312.1226 (-1.4 ppm).

(3S,4R)-3-Ethyl-3-(4-methoxyphenyl)-4-(2-nitrophenyl)oxetan-2one (18). Prepared according to the general procedure from NHC precursor 3 (57.0 mg, 0.10 mmol), KHMDS (0.18 mL, 0.09 mmol), ethyl(4-methoxyphenyl)ketene (211 mg, 1.20 mmol), and 2-nitrobenzaldehyde (151 mg, 1.00 mmol) in toluene (10 mL) at -50 °C for 3 h. The crude product (dr 86:14 syn:anti) was purified by silica gel chromatography (90/10 petroleum ether/Et<sub>2</sub>O) to give syn-18 (247 mg, 75%) as an off-white solid: mp 58–64 °C;  $[\alpha]_{D}^{20} = -57$  (c 0.25, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AS-H (10% IPA/hexane, flow rate 0.8 mL min<sup>-1</sup>, 220 nm)  $t_{\rm R}$  major (S,R) 18.5 min,  $t_{\rm R}$  minor (R,S) 20.4 min, 86% ee;  $\nu_{\rm max}$  (KBr)/cm<sup>-1</sup> 2974, 1828 (C=O), 1612, 1578, 1527, 1465, 1300, 1254, 1185, 1109, 945, 895, 837, 791, 742, 699, 686; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.13 (3H, t, J = 7.4, CH<sub>2</sub>CH<sub>3</sub>), 2.51-2.64 (2H, m, CH<sub>2</sub>CH<sub>3</sub>), 3.63 (3H, s, ArOCH<sub>3</sub>), 6.21 (1H, s, CH-lactone), 6.57 (2H, d, J = 8.9, 4-MeOArH), 6.93 (2H, d, J = 8.9, 4-MeOArH), 7.31 (1H, ddd, J = 8.6, 6.7, 2.1, ArHNO<sub>2</sub>), 7.47-7.55 (2H, m, ArHNO<sub>2</sub>), 7.96 (1H, dd,  $J = 8.3, 0.9, ArHNO_2$ ); <sup>13</sup>C{<sup>1</sup>H} NMR (75 MHz, CDCl<sub>3</sub>)  $\delta_c$  9.4, 29.4, 55.1, 72.3, 79.7, 113.9, 124.8, 125.7, 128.0, 128.2, 129.0, 133.0, 134.2, 146.4, 158.9, 172.4; HRMS (ASAP) C<sub>18</sub>H<sub>18</sub>O<sub>5</sub>N [M + H]<sup>+</sup> requires 328.1179, found 328.1175 (-1.8 ppm).

3-Isopropyl-4-(2-nitrophenyl)-3-(thiophen-3-yl)oxetan-2-one (19). Prepared according to the general procedure from NHC precursor 3 (57.0 mg, 0.10 mmol), KHMDS (0.18 mL, 0.09 mmol), isopropyl(3-thionyl)ketene (180 mg, 1.00 mmol), and 2-nitrobenzaldehyde (181 mg, 1.20 mmol) in toluene (10 mL) at 0 °C to room temperature over 12 h. The crude product (dr 45:55 syn:anti) was purified by silica gel chromatography (97/3 petroleum ether/ Et<sub>2</sub>O) to give syn-19 (73 mg, 23%) as a pale brown solid and anti-19 (38 mg, 12%) as an off-white solid. *syn*-19: mp 114 °C;  $[\alpha]_D^{20} = -24$ (c 0.15, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak OJ-H (2% IPA/ hexane, flow rate 0.5 mL min<sup>-1</sup>, 220 nm)  $t_{\rm R}$  major (S,R) 44.0 min,  $t_{\rm R}$ minor (R,S) 49.8 min, 58% ee;  $\nu_{\rm max}$  (KBr)/cm<sup>-1</sup> 2978, 1825 (C=O), 1613, 1577, 1522, 1342, 1244, 953, 896, 857, 784, 744, 705, 688, 622; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  0.96 (3H, d, J = 6.8, *i*-PrCH<sub>3</sub>), 1.45  $(3H, d, J = 6.8, i-PrCH_3)$ , 2.87 (1H, hep, J = 6.8, i-PrH), 6.37 (1H, s, CH-lactone), 6.56 (1H, dd, J = 4.9, 1.5, thiopheneArH), 6.97-7.01 (2H, m, thiopheneArH), 7.30–7.38 (1H, m, ArHNO<sub>2</sub>), 7.50 (2H, d, J = 3.9, ArHNO<sub>2</sub>), 8.01 (1H, dt, J = 8.1, 0.8, ArHNO<sub>2</sub>); <sup>13</sup>C{<sup>1</sup>H} NMR  $(100 \text{ MHz}, \text{CDCl}_3) \delta_c$  18.4, 19.0, 32.8, 74.5, 76.9, 123.0, 124.7, 125.2, 126.7, 128.3, 129.1, 133.2, 134.2, 135.0, 146.6, 171.2; HRMS (ASAP)  $C_{16}H_{16}O_4NS [M + H]^+$  requires 318.0795, found 318.0791 (-1.1 ppm); *anti*-19: mp 138–142 °C;  $[\alpha]_D^{20} = +40$  (*c* 0.1, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak OJ-H (2% IPA/hexane, flow rate 1.0 mL  $\min^{-1}$ , 220 nm)  $t_{\rm R}$  major (S,S) 28.8 min,  $t_{\rm R}$  minor (R,R) 43.2 min, 92% ee;  $\nu_{\rm max}$  (KBr)/cm<sup>-1</sup> 2974, 1818 (C=O), 1613, 1535, 1469, 1389, 1344, 1261, 1227, 1192, 1143, 1121, 995, 955, 902, 883, 863, 831, 790, 744, 710, 686, 638; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  0.41 (3H, d, J = 6.7, *i*-PrCH<sub>3</sub>), 0.93 (3H, d, J = 6.8, *i*-PrCH<sub>3</sub>), 2.19 (1H, hep, J = 6.7, *i*-PrH) 6.32 (1H, s, CH-lactone), 7.40 (1H, dd, J = 5.1, 3.0, thiopheneArH), 7.46 (1H, dd, J = 5.1, 1.3, thiopheneArH), 7.61-7.68 (2H, m, thiopheneArH and ArHNO<sub>2</sub>), 7.82 (1H, tdd, *J* = 7.9, 1.5, 0.4, ArHNO<sub>2</sub>), 7.93 (1H, dd, J = 8.0, 1.3, ArHNO<sub>2</sub>), 8.22 (1H, dd, J = 8.2, 1.3, ArHNO<sub>2</sub>);  ${}^{13}C{}^{1}H{}$  NMR (100 MHz, CDCl<sub>2</sub>)  $\delta_c$  16.8, 18.2, 29.3, 71.9, 79.0, 124.4, 125.5, 125.6, 128.5, 129.8, 130.1, 131.8, 133.8, 134.2, 148.3, 171.8; HRMS (ASAP)  $C_{16}H_{16}O_4NS [M + H]^+$  requires 318.0795, found 318.0788 (-2.1 ppm).

3-Ethyl-3-phenyl-4-(pyridin-4-yl)oxetan-2-one (20). Prepared according to the general procedure from NHC precursor 3 (57.0 mg, 0.10 mmol), KHMDS (0.18 mL, 0.09 mmol), ethylphenylketene (175 mg, 1.2 mmol), and 4-pyridinecarboxaldehyde (94  $\mu$ L, 1.0 mmol) in toluene (20 mL) at -50 °C for 2 h. The crude product (dr 17:83, syn:anti) was purified by silica gel chromatography (50/50 petroleum ether/Et<sub>2</sub>O) to give anti-20 (174 mg, 67%) as a colorless solid and syn-20 (21 mg, 8% yield) as a colorless solid. anti-20: mp 74-82 °C;  $[\alpha]_{\rm D}^{20}$  = +18.0 (c 1.00, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.08  $(3H, t, J = 7.4, CH_2CH_3), 2.30-2.37 (1H, m, CH_AH_BCH_3), 2.38-2.45$  $(1H, m, CH_AH_BCH_3), 5.51 (1H, s, CH(Ar)), 6.97-6.98 (2H, m, PhH-$ 4, PyH-5), 7.02–7.03 (2H, d, *J* = 6.0, PhH), 7.08–7.15 (3H, m, PhH), 8.41 (1H, d, J = 4.9, PyH-6); <sup>13</sup>C{<sup>1</sup>H} NMR (125 MHz, CDCl<sub>2</sub>)  $\delta_c$ 9.4, 31.3, 72.1, 81.2, 121.5, 126.9, 127.9, 128.8, 133.7, 144.6, 149.4, 171.3; chiral HPLC analysis Chiralpak AS-H (10% IPA/hexane, flow rate 1.0 mL min  $^{-1}$ , 254 nm, 30 °C)  $\bar{t}_{\rm R}$  major (S,S) 8.61 min,  ${\rm t}_{\rm R}$  minor (R,R): 12.0 min, 78% ee;  $\nu_{max}$  (ATR)/cm<sup>-1</sup> 2974, 1825 (C=O), 1599, 1414, 1107, 1086, 957, 907, 827; HRMS (ESI)  $C_{16}H_{16}O_2N [M + H]^+$ requires 254.1176, found 254.1178; syn-20: mp 66–70 °C;  $[\alpha]_{\rm D}^{20}$  = 0.0 (c 0.01, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AS-H (5% IPA/ hexane, flow rate 1.0 mL min<sup>-1</sup>, 254 nm)  $t_{\rm R}$  major (*S*,*R*) 15.5 min,  $t_{\rm R}$  minor (*R*,*S*) 18.1 min, 91% ee;  $\nu_{\rm max}$  (ATR)/cm<sup>-1</sup> 2974, 1829 (C=O), 1603, 1418, 1242, 1098, 947, 901; <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$ 0.69 (3H, t, J = 7.4,  $CH_2CH_3$ ), 1.45–1.53 (1H, m,  $CH_AH_BCH_3$ ), 1.64-1.71 (1H, m, CH<sub>A</sub>H<sub>B</sub>CH<sub>3</sub>), 5.63 (1H, s, CH(Ar)), 7.37-7.48 (7H, m, Ph*H*, Py*H*-3,4,5), 8.74 (1H, d, *J* = 5.8, Py*H*-6); <sup>13</sup>C{<sup>1</sup>H} NMR (125 MHz, CDCl<sub>3</sub>)  $\delta_c$  8.5, 27.5, 69.1, 81.2, 120.7, 126.3, 128.3, 129.3, 136.8, 144.1, 150.2, 171.0; HRMS (ESI)  $C_{16}H_{16}O_2N [M + H]^{-1}$ requires 254.1176, found 254.1178.

3-Ethyl-3-phenyl-4-(pyridin-2-yl)oxetan-2-one (21). Prepared according to the general procedure from NHC precursor 3 (57.0 mg, 0.10 mmol), KHMDS (0.18 mL, 0.09 mmol), ethylphenylketene (146 mg, 1.00 mmol), and 2-pyridinecarboxaldehyde (114  $\mu$ L, 1.20 mmol) in toluene (20 mL) at -50 °C for 3 h. The crude product (dr 74:26,

syn:anti) was purified by silica gel chromatography (95/5 to 90/10 petroleum ether/EtOAc) to give syn-21 (140 mg, 55%) as a pale brown solid and anti-21 (38 mg, 15% yield) as apale brown oil. syn-21: mp 72–76 °C;  $[\alpha]_{D}^{20} = -63.7$  (c 0.51, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AD-H (5% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 220 nm)  $t_{\rm R}$ minor (R,R) 8.6 min,  $t_{\rm R}$  major (S,S) 9.3 min, 82% ee;  $\nu_{\rm max}$  (ATR)/ cm<sup>-1</sup> 1819 (C=O), 1591, 1453, 1105, 1094, 955, 893; <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.07 (3H, t, J = 7.4, CH<sub>2</sub>CH<sub>3</sub>), 2.28–2.35 (1H, m, CH<sub>A</sub>H<sub>B</sub>CH<sub>3</sub>), 2.37–2.44 (1H, m, CH<sub>A</sub>H<sub>B</sub>CH<sub>3</sub>), 5.71 (1H, s, CH(Ar)), 7.00-7.09 (7H, m, PhH, PyH-3,5), 7.41 (1H, td, J = 7.7, 1.5, PyH-4), 8.46 (1H, dd, J = 4.8, 0.6, PyH-6); <sup>13</sup>C{<sup>1</sup>H} NMR (125 MHz, CDCl<sub>3</sub>)  $\delta_{\rm c}$  9.3, 31.7, 71.8, 82.5, 121.4, 123.3, 127.0, 127.3, 128.3, 134.6, 136.6, 148.8, 155.5, 172.1; HRMS (ESI)  $C_{16}H_{16}O_2N [M + H]^+$  requires 254.1176, found 254.1176; *anti-2*1:  $[\alpha]_D^{20} = 0.0$  (*c* 0.26, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AS-H (2% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 220 nm)  $t_{\rm R}$  major (S,R) 8.82 min,  $t_{\rm R}$  minor (R,S) 10.4 min, 47% ee;  $\nu_{\rm max}$  (ATR)/cm<sup>-1</sup> 2974, 1822 (C=O), 1591, 1437, 1110, 1088, 959, 914, 764; <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  0.64 (3H, t, J = 7.4, CH<sub>2</sub>CH<sub>3</sub>), 1.52-1.59 (1H, m, CH<sub>4</sub>H<sub>B</sub>CH<sub>3</sub>), 1.61-1.68 (1H, m, CH<sub>A</sub>H<sub>B</sub>CH<sub>3</sub>), 5.77 (1H, s, CH(Ar)), 7.31–7.36 (2H, m, PhH-4, PyH-5), 7.45 (2H, t, J = 7.7, PhH), 7.59 (1H, d, J = 7.8, PyH-3), 7.64 (1H, d, J = 7.3, PhH), 7.83 (1H, td, J = 7.7, 1.4, PyH-4), 8.70 (1H, d, J = 4.8, PyH-6);  ${}^{13}C{}^{1}H$  NMR (125 MHz, CDCl<sub>3</sub>)  $\delta_c$  8.5, 28.0, 69.1, 82.2, 120.7, 123.3, 126.9, 127.8, 128.9, 137.0, 137.5, 149.7, 155.5, 171.9; HRMS (ESI)  $C_{16}H_{16}O_2N$  [M + H]<sup>+</sup> requires 254.1176, found 254.1179

3-Methyl-3-phenyl-4-(pyridin-2-yl)oxetan-2-one (22). Prepared according to the general procedure from NHC precursor 3 (57.0 mg, 0.10 mmol), KHMDS (0.18 mL, 0.09 mmol), methylphenylketene (132 mg, 1.00 mmol), and 2-pyridinecarboxaldehyde (114  $\mu$ L, 1.20 mmol) in toluene (20 mL) at -50 °C for 3 h. The crude product (dr 77:23 syn:anti) was purified by silica gel chromatography (95/5 to 90/ 10 petroleum ether/EtOAc) to give syn-22 (144 mg, 60%) as a colorless solid and anti-22 (42 mg, 18%) as a colorless oil. syn-22: mp 94–98 °C;  $[\alpha]_D^{20} = -37.1$  (c 0.49, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AD-H (2% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 220 nm)  $t_{\rm R}$ minor (R,R) 15.0 min,  $t_{\rm R}$  major (S,S) 17.2 min, 88% ee;  $\nu_{\rm max}$  (ATR)/ cm<sup>-1</sup>1821 (C=O), 1591, 1453, 1257, 1099, 952, 754; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  2.00 (3H, s, CH<sub>3</sub>), 5.68 (1H, s, CH(Ar)), 7.02–7.10 (7H, m, PhH, PyH-3,5), 7.43 (1H, td, J = 7.7, 1.4, PyH-4), 8.44 (1H, dd, J = 5.1, 1.3, PyH-6); <sup>13</sup>C{<sup>1</sup>H} NMR (125 MHz, CDCl<sub>3</sub>)  $\delta_c$  24.7, 66.9, 84.3, 121.0, 123.2, 126.6, 127.4, 128.3, 135.8, 136.5, 148.9, 155.3, 172.7; HRMS  $C_{15}H_{14}O_2N$  (ESI)  $[M + H]^+$  requires 240.1019, found 240.1022; anti-22:  $[\alpha]_D^{20} = -21.6$  (c 0.26, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AS-H (2% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 220 nm)  $t_{\rm R}$  major (S,R) 11.6 min,  $t_{\rm R}$  minor (R,S) 13.3 min, 86% ee;  $\nu_{\rm max}$ (ATR)/cm<sup>-1</sup> 1828 (C=O), 1591, 1437, 1140, 1086, 995, 961, 768;  $^1\text{H}$  NMR (500 MHz, CDCl\_3)  $\delta_{\rm H}$  1.24 (3H, s, CH\_3), 5.80 (1H, s, CH(Ar)), 7.31-7.36 (2H, m, PhH-4, PyH-5), 7.45 (2H, t, J = 7.7, PhH), 7.58 (1H, d, J = 7.8, PyH-3), 7.64-7.65 (2H, m, PhH), 7.83 (1H, td, J = 7.7, 1.5, PyH-4), 8.71 (1H, d, J = 4.7, PyH-6); <sup>13</sup>C{<sup>1</sup>H} NMR (125 MHz, CDCl<sub>3</sub>)  $\delta_c$  21.4, 65.1, 81.9, 120.4, 123.3, 126.0, 127.9, 129.2, 137.1, 139.7, 149.8, 155.5, 172.6; HRMS (ESI)  $C_{15}H_{14}O_2N \ [M+H]^+$  requires 240.1019, found 240.1022.

3-Butyl-3-phenyl-4-(pyridin-2-yl)oxetan-2-one (23). Prepared according to the general procedure from NHC precursor 3 (57.0 mg, 0.10 mmol), KHMDS (0.18 mL, 0.09 mmol), butylphenylketene (174 mg, 1.00 mmol), and 2-pyridinecarboxaldehyde (114  $\mu$ L, 1.20 mmol) in toluene (20 mL) at -50 °C for 3 h. The crude product (dr 82:18, syn:anti) was purified by silica gel chromatography (95/5 to 90/10 petroleum ether/EtOAc) to give syn-23 (128 mg, 45%) as a colorless solid and anti-23 (47 mg, 17%) as a colorless oil. syn-23:  $[\alpha]_D^{20} =$ -41.7 (c 0.36, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AS-H (2% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 220 nm)  $t_{\rm R}$  major (S,S) 8.5 min,  $t_{\rm R}$  minor (R,R) 12.0 min, 84% ee;  $\nu_{\rm max}$  (ATR)/cm<sup>-1</sup> 2959, 1825 (C= O), 1591, 1439, 1259, 1109, 930, 761; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  0.87 (3H, t, J = 7.2, CH<sub>3</sub>), 1.15–1.43 (3H, m, *n*-BuH), 1.57–1.71 (1H, m, n-BuH), 2.21-2.38 (2H, m, n-BuH), 5.70 (1H, s, CH(Ar)), 6.98-7.11 (7H, m, PhH, PyH-3,4,5), 7.40 (1H, td, J = 7.8, 1.7, PyH-4), 8.46 (1H, ddd, J = 4.8, 1.6, 0.9, PyH-6);  ${}^{13}C{}^{1}H$  NMR (75 MHz, CDCl<sub>3</sub>)  $\delta_c$  13.9, 22.8, 26.9, 38.4, 71.2, 82.7, 121.3, 123.3, 127.0, 127.3, 128.3, 134.9, 136.5, 148.9, 155.5, 172.2; HRMS (ESI)  $C_{18}H_{20}NO_2$  [M + H<sup>+</sup> requires 282.1494, found 282.1492; *anti*-23:  $[\alpha]_D^{20} = +2.4$  (*c* 0.21, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak OD-H (1% IPA/ hexane, flow rate 1.0 mL min<sup>-1</sup>, 220 nm)  $t_R$  major (*S*,*R*) 6.8 min,  $t_R$  minor (*R*,*S*): 9.5 min, 37% ee;  $\nu_{max}$  (ATR)/cm<sup>-1</sup> 2957, 1825 (C=O), 1589, 1437, 1259, 1109, 1090, 997, 934, 775; <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>)  $\delta_H$  0.61 (3H, s, CH<sub>3</sub>), 0.75–1.03 (3H, m, *n*-BuH), 1.14–1.23 (1H, m, *n*-BuH), 1.48–1.57 (2H, m, *n*-BuH), 5.76 (1H, s, CH(Ar)), 7.31–7.36 (2H, m, PhH-4, PyH-5), 7.44 (2H, t, *J* = 7.7, PhH), 7.58 (1H, d, *J* = 7.8, PyH-3), 7.63 (2H, d, *J* = 7.9, PhH), 7.83 (1H, td, *J* = 7.7, 1.5, PyH-4), 8.71 (1H, d, *J* = 4.7, PyH-6); <sup>13</sup>C{<sup>1</sup>H} NMR (75 MHz, CDCl<sub>3</sub>)  $\delta_c$  13.7, 22.6, 26.0, 34.3, 68.7, 82.2, 120.8, 123.4, 126.8, 127.8, 128.9, 137.0, 138.0, 149.7, 155.5, 172.0; HRMS (ESI)  $C_{18}H_{20}NO_2$  [M + H]<sup>+</sup> requires 282.1494, found 282.1493.

3-Ethyl-3-(4-fluorophenyl)-4-(pyridin-2-yl)oxetan-2-one (24). Prepared according to the general procedure from NHC precursor 3 (57.0 mg, 0.10 mmol), KHMDS (0.18 mL, 0.09 mmol), ethylphenylketene (164 mg, 1.00 mmol), and 2-pyridinecarboxaldehyde (114  $\mu$ L, 1.20 mmol) in toluene (20 mL) at -50 °C for 3 h. The crude product (dr 82:18, syn:anti) was purified by silica gel chromatography (90/10 to 80/20 petroleum ether/EtOAc) to give syn-24 (159 mg, 59%) as a colorless solid and anti-24 (38 mg, 14%) as aq colorless solid. syn-24: mp 82–84 °C;  $[\alpha]_{D}^{20} = -57.8$  (c 0.71, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AS-H (2% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 220 nm)  $t_{\rm R}$ major (S,S) 9.9 min,  $t_{\rm R}$  minor (R,R) 13.5 min, 79% ee;  $\nu_{\rm max}$  (ATR)/ cm<sup>-1</sup>1819 (C=O), 1512, 1438, 1229, 1113, 897, 840, 795, 767; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.05 (3H, t, J = 7.4, CH<sub>3</sub>), 2.21–2.33  $(1H, m, CH_AH_BCH_3)$ , 2.33–2.45  $(1H, m, CH_AH_BCH_3)$ , 5.68  $(1H, s, CH_AH_BCH_3)$ CH(Ar)), 6.71-6.79 (2H, m, PhH-3,5), 6.97-7.09 (4H, m, PhH-2,6, PyH-3,5), 7.45 (1H, td, J = 7.8, 1.7, PyH-4), 8.46 (1H, ddd, J = 4.8, 1.6, 0.6, PyH-6);  ${}^{13}C{}^{1}H{}$  NMR (75 MHz, CDCl<sub>3</sub>)  $\delta_c$  9.3, 31.8, 71.1, 82.3, 115.2 (d, J = 21.4), 121.2, 123.4, 128.8 (d, J = 8.1), 130.4 (d, J = 3.0), 136.7, 148.9, 155.4, 161.8 (d, J = 246), 171.9; HRMS (ESI)  $C_{16}H_{15}O_2NF [M + H]^+$  requires 272.1081, found 272.1086; *anti-24*: mp 82-84 °C;  $[\alpha]_D^{20} = 0.0$  (*c* 0.26, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AS-H (2% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 220 nm)  $t_{\rm R}$ major (S,R) 9.51 min,  $t_{\rm R}$  minor (R,S) 10.4 min, 42% ee;  $\nu_{\rm max}$  (ATR)/ cm<sup>-1</sup> 1827 (C=O), 1591, 1508, 1437, 1219, 1113, 1088, 961, 917, 838; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  0.63 (3H, t, J = 7.4, CH<sub>3</sub>), 1.45-1.56 (1H, m, CH<sub>A</sub>H<sub>B</sub>CH<sub>3</sub>), 1.57-1.68 (1H, m, CH<sub>A</sub>H<sub>B</sub>CH<sub>3</sub>), 5.72 (1H, s, CH(Ar)), 7.09-7.17 (2H, m, PhH-3,5), 7.30-7.34 (1H, m, PyH-5), 7.55–7.65 (3H, m, PyH-3, PhH-2,6), 7.82 (1H, td, J = 7.7, 1.7, PyH-4), 8.67-8.70 (1H, m, PyH-6); <sup>13</sup>C{<sup>1</sup>H} NMR (75 MHz,  $CDCl_3$ )  $\delta_c$  8.4, 28.1, 68.4, 82.1, 115.8 (d, J = 21.5), 120.6, 123.4, 128.7 (d, J = 8.1), 133.2 (d, J = 3.1), 137.1, 149.7, 155.3, 162.4 (d, J = 246),171.6; HRMS (ESI) C<sub>16</sub>H<sub>15</sub>O<sub>2</sub>NF [M + H]<sup>+</sup> requires 272.1081, found 272.1086

(3S,4S)-3-(4-Bromophenyl)-3-ethyl-4-(pyridin-2-yl)oxetan-2-one (25). Prepared according to the general procedure from NHC precursor 3 (15.0 mg, 0.026 mmol), KHMDS (47 µL, 0.024 mmol), ethyl(4-bromophenyl)ketene (59 mg, 0.26 mmol), and 2-pyridinecarboxaldehyde (30  $\mu$ L, 0.32 mmol) in toluene (5 mL) at -50 °C for 3 h. The crude product (dr 80:20, syn:anti) was purified by silica gel chromatography (95/5 to 90/10 petroleum ether/EtOAc) to give syn-**25** (39 mg, 45%) as a colorless solid:  $[\alpha]_D^{20} = -40$  (*c* 0.09, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AD-H (2% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 220 nm)  $t_R$  minor (R,R) 14.1 min,  $t_R$  major (S,S) 15.8 min, 80% ee;  $\nu_{\rm max}$  (ATR)/cm<sup>-1</sup> 2974, 1819 (C=O), 1589, 1489, 1115, 1099, 1076, 1009, 943, 901, 843, 824;  $^1{\rm H}$  NMR (300 MHz, CDCl\_3)  $\delta_{\rm H}$ 1.06 (3H, t, J = 7.4,  $CH_3$ ), 2.21–2.32 (1H, m,  $CH_AH_BCH_3$ ), 2.33– 2.44 (1H, m, CH<sub>A</sub>H<sub>B</sub>CH<sub>3</sub>), 5.69 (1H, s, CH(Ar)), 6.89–6.94 (2H, m, ArH), 7.05 (1H, d, J = 7.9, PyH-3), 7.10 (1H, ddd, J = 7.9, 4.9, 0.9, PyH-5), 7.18–7.23 (2H, m, ArH), 7.48 (1H, td, J = 7.9, 1.7, PyH-4), 8.47-8.49 (1H, m, PyH-6); <sup>13</sup>C{<sup>1</sup>H} NMR (75 MHz, CDCl<sub>3</sub>) δ<sub>c</sub> 9.3, 31.8, 71.2, 82.2, 121.3, 121.6, 123.5, 128.8, 131.4, 133.8, 136.8, 149.0, 155.3, 171.6; HRMS (ESI)  $C_{16}H_{15}O_2NBr \ [M + H]^+$  requires 332.0281, found 332.0287.

4-(6-Bromopyridin-2-yl)-3-methyl-3-phenyloxetan-2-one (26). Prepared according to the general procedure from NHC precursor 3

(57.0 mg, 0.10 mmol), KHMDS (0.18 mL, 0.09 mmol), methylphenylketene (145 mg, 1.10 mmol), and 6-bromo-2-pyridinecarboxaldehyde (186 mg, 1.00 mmol) in toluene (20 mL) at -50 °C for 3 h. The crude product (dr 80:20, syn:anti) was purified by silica gel chromatography (5% Et<sub>2</sub>O/petroleum ether) to give syn-26 (175 mg, 55%) as a colorless solid and anti-26 (47 mg, 15%) as a pale yellow oil. *syn-26*: mp 112–118 °C;  $[\alpha]_D^{20} = -24.9$  (*c* 1.00, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AS-H (2% IPA/hexane, flow rate 1.0 mL  $\min^{-1}$ , 220 nm)  $t_{\rm R}$  major (S,S) 13.2 min,  $t_{\rm R}$  minor (R,R): 15.9 min, 86% ee;  $\nu_{\text{max}}$  (ATR)/cm<sup>-1</sup>1811 (C=O), 1557, 1437, 1416, 1260, 1119, 962, 878, 791; <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  2.00 (3H, s, CH<sub>3</sub>), 5.64 (1H, s, CH(Ar)), 7.00 (1H, d, J = 7.5, PyH-3), 7.05-7.09 (3H, m, PhH), 7.11–7.15 (2H, m, J = 7.2, PhH), 7.22 (1H, d, J = 7.8, PyH-5), 7.28 (1H, t, J = 7.8, PyH-4); <sup>13</sup>C{<sup>1</sup>H} NMR (125 MHz,  $CDCl_3$ )  $\delta_c$  24.6, 67.5, 83.3, 119.7, 126.6, 127.6, 127.7, 128.5, 135.4, 138.8, 141.1, 156.9, 172.3; HRMS (ESI) C<sub>15</sub>H<sub>13</sub>O<sub>2</sub>NBr [M + H]<sup>+</sup> requires 318.0124, found 318.0132; *anti-26*:  $[\alpha]_{D}^{20} = +24.0$  (c 0.20, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AS-H (2% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 220 nm)  $t_R$  major (S,R) 12.8 min,  $t_R$  minor (R,S) 16.0 min, 67% ee;  $\nu_{max}$  (ATR)/cm<sup>-1</sup> 2974, 1832 (C=O), 1582, 1558, 1437, 1406, 1123, 1082, 986, 972, 781; <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.28 (3H, s, CH<sub>3</sub>), 5.73 (1H, s, CH(Ar)), 7.35 (1H, t, J = 7.4, PhH-4), 7.45 (2H, t, J = 7.7, PhH), 7.51 (1H, d, J = 7.9, PyH-5), 7.54 (1H, d, J = 7.6, PyH-3), 7.61 (2H, d, J = 7.5, PhH), 7.69 (1H, t, J = 7.8, PyH-4);  ${}^{13}C{}^{1}H$  NMR (125 MHz, CDCl<sub>3</sub>)  $\delta_c$  21.5, 65.5, 81.2, 119.3, 126.0, 127.9, 128.1, 129.2, 139.2, 139.4, 142.3, 156.9, 172.1; HRMS (ESI)  $C_{15}H_{13}O_2NBr [M + H]^+$  requires 318.0124, found 318.0129.

(3S,4R)-4-(3-Bromopyridin-2-yl)-3-methyl-3-phenyloxetan-2-one (27). Prepared according to the general procedure from NHC precursor 3 (57.0 mg, 0.10 mmol), KHMDS (0.18 mL, 0.09 mmol), methylphenylketene (145 mg, 1.10 mmol), and 3-bromo-2-pyridinecarboxaldehyde (186 mg, 1.00 mmol) in toluene (20 mL) at -50 °C for 3 h. The crude product (dr 18:82 syn:anti) was purified by silica gel chromatography (80/20 petroleum ether/EtOAc) to give anti-27 (45 mg, 14% yield) as a pale brown solid: mp 118–122 °C;  $[\alpha]_{\rm D}^{20} = +26.5$ (c 0.50, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AD-H (2% IPA/ hexane, flow rate 1.0 mL min<sup>-1</sup>, 220 nm, 30 °C)  $t_{\rm R}$  major (S,R) 19.3 min,  $t_{\rm R}$  minor (R,S) 21.0 min, 91% ee;  $\nu_{\rm max}$  (ATR)/cm<sup>-1</sup> 1819 (C= O), 1427, 1377, 1132, 1090, 959, 700; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.38 (3H, s, CH<sub>3</sub>), 6.05 (1H, s, CH(Ar)), 7.25 (1H, dd, J = 8.1, 4.6, PyH-5), 7.34-7.40 (1H, m, PhH-4), 7.42-7.47 (2H, m, PhH-3,5), 7.58-7.62 (2H, m, PhH-2,6), 7.95 (1H, dd, J = 8.1, 1.5, PyH-4), 8.75 (1H, dd, J = 4.6, 1.5, PyH-6); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, CDCl<sub>3</sub>)  $\delta_c$ 17.7, 66.0, 81.0, 120.2, 124.9, 126.1, 128.3, 129.2, 138.8, 140.8, 148.6, 152.6, 172.3; HRMS (ESI)  $C_{15}H_{13}O_2NBr [M + H]^+$  requires 318.0124, found 318.0131.

3-Ethyl-4-(3-fluoropyridin-4-yl)-3-phenyloxetan-2-one (28). Prepared according to the general procedure from NHC precursor 3 (57.0 mg, 0.10 mmol), KHMDS (0.18 mL, 0.09 mmol), ethylphenylketene (161 mg, 1.10 mmol), and 3-fluoro-4-pyridinecarboxaldehyde (102  $\mu$ L, 1.00 mmol) in toluene (20 mL) at -50 °C for 3 h. The crude product (dr 63:37 syn:anti) was purified by silica gel chromatography (90/10 petroleum ether/Et<sub>2</sub>O and then 90/10 petroleum ether/EtOAc) to give syn-28 and anti-28 (85 mg, 31%) as a colorless oil. syn-28:  $[\alpha]_{\rm D}^{20} = +24.0$  (c 0.03, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak IC (2% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 254 nm)  $t_{\rm R}$  minor (R,R) 15.2 min,  $t_{\rm R}$  major (S,S) 16.1 min, 37% ee;  $\nu_{\rm max}$  (ATR)/cm<sup>-1</sup> (syn and anti) 2974, 1830 (C=O), 1609, 1568, 1493, 1449, 1416, 1250, 1103, 1053, 959, 905, 914, 841, 756; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.09  $(3H, t, J = 7.4, CH_3), 2.35-2.51 (2H, m, CH_2CH_3), 5.80 (1H, s, CH_2CH_3), 5.80 (1H, s, CH_2CH_3)$ CH(Ar)), 7.03-7.17 (6H, m, PhH, PyH-5), 8.19 (1H, d, J = 4.7, PyH-6), 8.29 (1H, s, PyH-2);  $^{13}C{^{1}H}$  NMR (100 MHz, CDCl<sub>3</sub>)  $\delta_{c}$  9.4, 30.3, 72.6, 76.0 (d, J = 3.5), 121.3, 126.3, 128.3, 128.9, 132.5 (d, J = 11.1), 133.5, 137.2 (d, J = 22.9), 145.8 (d, J = 5.0), 151.5 (d, J = 235), 170.9; HRMS (ESI) C<sub>16</sub>H<sub>15</sub>O<sub>2</sub>NF [M + H]<sup>+</sup> requires 272.1081, found 272.1086; anti-28:  $[\alpha]_D^{20} = +20.0$  (c 0.03, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AS-H (2% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 220 nm)  $t_{\rm R}$  major (S,R) 8.82 min,  $t_{\rm R}$  minor (R,S): 10.4 min, 64% ee; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  0.70 (3H, t, J = 7.4, CH<sub>3</sub>), 1.56–1.65  $(1H, m, CH_AH_BCH_3)$ , 1.68–1.77 (1H, m, CH<sub>A</sub>H<sub>B</sub>CH<sub>3</sub>), 5.87 (1H, s, CH(Ar)), 7.39 (1H, tt, *J* = 7.2, 1.3, PhH-4), 7.44–7.48 (2H, m, PhH), 7.51–7.54 (2H, m, PhH), 7.57 (1H, t, *J* = 5.5, PyH-5), 8.58–8.60 (2H, m, PyH-2,6);  $^{13}C{^{1}H}$  NMR (100 MHz, CDCl<sub>3</sub>)  $\delta_c$  8.4, 26.9, 69.9, 77.00 (d, *J* = 2.7), 122.0, 126.5 (d, *J* = 3.5), 128.4, 129.2, 131.8 (d, *J* = 11.4), 136.4, 138.1 (d, *J* = 22.8), 146.7 (d, *J* = 4.9), 156.6 (d, *J* = 256), 170.7; HRMS (ESI) C<sub>16</sub>H<sub>15</sub>O<sub>2</sub>NF [M + H]<sup>+</sup> requires 272.1081, found 272.1085.

(3S,4S)-3-Ethyl-3-phenyl-4-(quinolin-4-yl)oxetan-2-one (29). Prepared according to the general procedure from NHC precursor 3 (57.0 mg, 0.10 mmol), KHMDS (0.18 mL, 0.09 mmol), ethylphenylketene (175 mg, 1.20 mmol), and 4-quinolinecarboxaldehyde (157 mg, 1.00 mmol, 1.0 equiv) in toluene (20 mL) at -50 °C for 3 h. The crude product (dr 3:97 syn:anti) was purified by silica gel chromatography (85/15 petroleum ether/EtOAc) to give anti-29 (172 mg, 57% yield) as a pale yellow solid: mp 121–126 °C;  $[\alpha]_D^{20} = +157.2$  (c 0.46, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AD-H (5% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 254 nm)  $t_{\rm R}$  major (S,S) 12.3 min,  $t_{\rm R}$  minor (R,R) 17.5 min, 82% ee;  $\nu_{\rm max}$  (ATR)/cm<sup>-1</sup> 2976, 1827 (C=O), 1595, 1508, 1246, 1107, 1042, 899, 760; <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  0.73  $(3H, t, J = 7.4, CH_3), 1.51-1.58$   $(1H, m, CH_AH_BCH_3), 1.64-1.71$ (1H, m, CH<sub>A</sub>H<sub>B</sub>CH<sub>3</sub>), 6.05 (1H, s, CH(Ar)), 7.43-7.50 (3H, m, ArH), 7.51–7.57 (4H, m, ArH), 7.65 (1H, d, J = 4.4, QuH-7), 7.73– 7.78 (1H, m, QuH-8), 8.20 (1H, d, J = 8.4, QuH-5), 9.01 (1H, d, J =4.3, QuH-6);  ${}^{13}C{}^{1}H$  NMR (75 MHz, CDCl<sub>3</sub>)  $\delta_c$  8.6, 23.6, 69.8, 81.2, 118.2, 122.7, 124.9, 126.6, 127.3, 128.6, 129.6, 129.8, 130.8, 135.6, 140.6, 148.0, 150.4, 171.5; HRMS (ESI)  $C_{20}H_{18}O_2N$  [M + H] requires 304.1332, found 304.1337.

4-(2-Chloro-6-(4-fluorophenyl)pyridin-4-yl)-3-ethyl-3-phenyloxetan-2-one (30). Prepared according to the general procedure from NHC precursor 3 (28.5 mg, 0.05 mmol), KHMDS (90 µL, 0.045 mmol), ethylphenylketene (80.0 mg, 0.55 mmol), and 2-chloro-6-(4fluorophenyl)isonicotinaldehyde (118 mg, 0.50 mmol) in toluene (10 mL) at -50 °C for 3 h. The crude product (dr 50:50 syn:anti) was purified by silica gel chromatography (95/5 petroleum ether/Et<sub>2</sub>O) to give syn-30 (83 mg, 44%) as a colorless solid and anti-30 (50 mg, 26%) as a colorless oil. syn-30: mp 114–118 °C;  $[\alpha]_D^{20} = +15.9$  (c 0.15, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AS-H (2% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 254 nm)  $t_{\rm R}$  major (S,R) 9.56 min,  $t_{\rm R}$  minor (R,S) 12.0 min, 76% ee;  $\nu_{\text{max}}$  (ATR)/cm<sup>-1</sup> 1823 (C=O), 1603, 1549, 1514, 1412, 1323, 1159, 1109, 827, 760; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$ 0.75 (3H, t, J = 7.4,  $CH_3$ ), 1.52–1.64 (1H, m,  $CH_AH_BCH_3$ ), 1.70– 1.82 (1H, m,  $CH_AH_BCH_3$ ), 5.63 (1H, s, CH(Ar)), 7.15–7.23 (2H, m, p-FPhH-3,5), 7.32 (1H, br s, PyH-3), 7.38-7.53 (5H, m, PhH), 7.68 (1H, br s, PyH-5), 8.02-8.09 (2H, m, p-FPhH-2,6);  ${}^{13}C{}^{1}H{}$  NMR (75 MHz, CDCl<sub>3</sub>)  $\delta_c$  8.6, 27.5, 69.5, 80.9, 115.2, 116.1 (d, J = 22), 119.1, 126.2, 128.5, 129.2 (d, J = 8.6), 129.4, 133.3 (d, J = 3.1), 136.5, 148.1, 152.2, 157.9, 164.3 (d, J = 251), 170.5; HRMS (ESI) C<sub>22</sub>H<sub>18</sub>O<sub>2</sub>NFCl [M + H]<sup>+</sup> requires 382.1005, found 382.1009; anti- $\mathbf{30}^{22}$ :  $\left[\alpha\right]_{D}^{20} = -11.2$  (c 0.30, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AS-H (2% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 254 nm)  $t_{\rm R}$  major (S,S) 11.3 min,  $t_{\rm R}$  minor (R,R) 14.9 min, 74% ee;  $\nu_{\rm max}$  (ATR)/cm<sup>-</sup> 2974, 1825 (C=O), 1603, 1459, 1512, 1450, 1231, 1206, 1099, 902, 832; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.10 (3H, t, J = 7.4, CH<sub>3</sub>), 2.31–2.51 (2H, m,  $CH_2CH_3$ ), 5.51 (1H, s, CH(Ar)), 6.99 (1H, d, J = 0.7, PyH-3), 7.02-7.21 (8H, s, ArH), 7.71-7.78 (2H, m, p-FPhH-2,6);  $^{13}C{^{1}H}$  NMR (75 MHz, CDCl<sub>3</sub>)  $\delta_{c}$  9.4, 31.0, 72.5, 80.5, 115.8, 116.1 (d, J = 9.1), 120.2, 126.8, 128.3, 128.9 (d, J = 8.7), 129.1, 133.4, 148.2, 151. 5, 157.1, 166.7 (d, J = 250), 171.0; HRMS (ESI) C<sub>22</sub>H<sub>18</sub>O<sub>2</sub>NFCl  $[M + H]^+$  requires 382.1005, found 382.1009.

(S)-2-((S)-Âmino(2-nitrophenyl)methyl)-2-phenylbutanoic Acid (36). According to a literature procedure, <sup>13</sup> NaN<sub>3</sub> (219 mg, 3.36 mmol, 2.0 equiv) was added to a solution of *syn*-7 (500 mg, 1.68 mmol, 1.0 equiv) in DMSO (5 mL) in a screw-top vial and the mixture heated to 65 °C for 42 h. The solution was cooled to room temperature, diluted with NaHCO<sub>3</sub> (20 mL), and extracted with EtOAc (20 mL). The aqueous phase was acidified with 2 M HCl (50 mL) and extracted with EtOAc ( $3 \times 20$  mL). The combined organics were washed with brine (20 mL) and water (20 mL) before being dried over Na<sub>2</sub>SO<sub>4</sub> and concentrated to give (S)-2-((S)-azido(2-nitrophenyl)methyl)-2-phenylbutanoic acid as a light brown solid (564 mg, 99%): mp 156–160 °C;  $[\alpha]_{\rm D}^{20}$  = +386 (c 0.25, CHCl<sub>3</sub>);  $\nu_{\rm max}$  (KBr)/cm<sup>-1</sup> 2975, 2104 (N<sub>3</sub>), 1707 (C=O), 1613, 1528, 1360, 1253, 1227, 1141, 1143, 1121, 857, 783, 831, 698, 744, 677; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.10 (3H, t, J = 7.4, CH<sub>3</sub>), 2.10–2.22 (1H, m, CH<sub>A</sub>H<sub>B</sub>CH<sub>3</sub>), 2.55–2.67 (1H, m, CH<sub>A</sub>H<sub>B</sub>CH<sub>3</sub>), 6.32 (1H, s, CHN<sub>3</sub>), 6.42 (1H, dd, J = 7.9, 1.2, ArH), 6.92–6.98 (2H, m, ArH), 7.18–7.35 (5H, m, ArH), 7.67 (1H, dd, J = 8.1, 1.4, ArH); <sup>13</sup>C{<sup>1</sup>H} NMR (125 MHz, CDCl<sub>3</sub>)  $\delta_{\rm c}$  9.5, 27.6, 60.6, 60.6, 123.9, 127.9, 128.2, 128.8, 129.1, 130.5, 131.1, 131.6, 136.5, 151.2, 178.9; HRMS (EI) C<sub>17</sub>H<sub>15</sub>O<sub>4</sub>N<sub>4</sub> [M–H]<sup>-</sup> requires 339.1099, found 339.1093 (–1.7 ppm).

Next, PPh<sub>3</sub> (84 mg, 0.31 mmol, 1.08 equiv) was added to a solution of (S)-2-((S)-azido(2-nitrophenyl)methyl)-2-phenylbutanoic acid (100 mg, 0.29 mmol, 1.00 equiv) in THF (4 mL) and water (1 mL) in a screw-top vial, and the mixture was stirred vigorously for 6 h. The mixture was concentrated before being diluted with methanol and cooled to 0 °C in a screw-cap vial. SOCl<sub>2</sub> (0.06 mL, 0.30 mmol, 1.0 equiv) was added dropwise and the vial heated at 65 °C for 12 h. The reaction mixture was concentrated, and the resulting solid was triturated with cold CHCl<sub>3</sub> to give (S,S)-36 as an off-white solid (75 mg, 81% yield): mp 188–194 °C dec;  $[\alpha]_{D}^{20} = +213$  (c 0.15, MeOH);  $\nu_{\rm max}$  (KBr)/cm<sup>-1</sup> 3417, 2800, 1684 (C=O), 1602, 1531, 1496, 1352, 1220, 1195, 857, 713, 603; <sup>1</sup>H NMR (300 MHz, MeOD<sub>3</sub>)  $\delta_{\rm H}$  0.73 (3H, t, J = 7.1, CH<sub>3</sub>), 1.80-1.99 (2H, m, CH<sub>2</sub>CH<sub>3</sub>), 5.88 (1H, s, CHNH<sub>2</sub>), 7.12-7.19 (2H, m, ArH), 7.32-7.41 (3H, m, ArH), 7.50-7.55 (1H, m, ArH), 7.59-7.74 (2H, m, ArH), 7.94 (1H, dd, J = 7.9, 1.5, ÅrH); <sup>13</sup>C{<sup>1</sup>H} NMR (75 MHz, MeOD)  $\delta_c$  9.9, 28.2, 54.9, 59.9, 126.5, 129.0, 129.1, 129.6, 130.1, 130.3, 131.8, 134.4, 137.4, 151.7, 176.2; HRMS (EI)  $C_{17}H_{17}O_4N_2$  [M – H]<sup>-</sup> requires 313.1194, found 313.1186 (-2.5 ppm).

(S,S)-36 was derivatized into its dibenzyl compound to allow chiral HPLC analysis. Benzyl bromide (25 µL, 0.21 mmol, 2.2 equiv) and DIEPA (0.37  $\mu$ L, 0.19 mmol, 2.2 equiv) were added to a solution of (S,S)-36 (30 mg, 0.10 mmol, 1.0 equiv) in DMF (2 mL) and stirred at room temperature for 12 h. The reaction mixture was diluted with Et<sub>2</sub>O and washed with 2 M HCl and water (×2) before being dried over Na<sub>2</sub>SO<sub>4</sub> and concentrated. The crude product was purified by silica gel chromatography (90/10 petroleum ether/ $Et_2O$ ) to give (S)benzyl 2-((S)-(benzylamino)(2-nitrophenyl)methyl)-2-phenylbutanoate (16 mg, 34%) as a pale yellow oil:  $[\alpha]_D^{20} = +269$  (c 0.1, CHCl<sub>3</sub>); chiral HPLC Chiralpak OD-H (1% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 220 nm)  $t_{\rm R}$  minor (R,R) 15.7 min,  $t_{\rm R}$  major (S,S) 19.2 min, 99% ee;  $\nu_{\text{max}}$  (KBr)/cm<sup>-1</sup>3031, 1730 (C=O), 1646, 1603, 1529, 1496, 1454, 1357, 1218, 1108, 1029, 856, 739, 698; <sup>1</sup>H NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta_{\text{H}}$  0.64 (3H, t, J = 7.3,  $\text{CH}_3$ ), 1.78–1.87 (1H, m,  $CH_AH_BCH_3$ ), 2.33–2.41 (1H, m,  $CH_AH_BCH_3$ ), 3.45 (1H, d, J = 13.4, OCH<sub>A</sub>H<sub>B</sub>Ph), 3.60 (1H, d, J = 13.4, OCH<sub>A</sub>H<sub>B</sub>Ph), 5.00 (1H, d, J= 12.3, NCH<sub>A</sub>H<sub>B</sub>Ph), 5.08 (1H, d, J, 12.3, NCH<sub>A</sub>H<sub>B</sub>Ph), 5.30 (1H, s, CHNHBn), 6.75 (1H, d, J = 8.0, ArH), 6.91 (2H, dd, J = 8.1, 1.4, ArH), 7.11–7.24 (15H, m, ArH), 7.64 (1H, dd, J = 8.1, 1.4, ArH); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, CDCl<sub>3</sub>)  $\delta_{\rm C}$  9.7, 28.7, 51.8, 58.6, 61.6, 66.7, 124.0, 127.0, 127.3, 127.8, 128.0, 128.2, 128.3, 128.5, 128.6, 128.7, 130.1, 131.3, 135.5, 135.6, 139.0, 140.5, 152.6, 173.8; HRMS (EI)  $C_{31}H_{31}O_4N_2$  [M + H]<sup>+</sup> requires 495.2278, found 495.2271 (-1.5 ppm).

(S)-2-((*R*)-Hydroxy(2-nitrophenyl)methyl)-2-phenylbutanoic Acid (37). According to a literature procedure,<sup>13</sup> 1 M KOH (1.35 mL, 1.35 mmol, 2.0 equiv) was added to a solution of *syn*-7 (200 mg, 0.67 mmol, 1.0 equiv) in THF (4 mL) in a screw-cap vial and heated to 65 °C for 5 h. The solution was cooled to room temperature, diluted with NaHCO<sub>3</sub>, and extracted with Et<sub>2</sub>O. The aqueous layer was slowly acidified with 2 M HCl and extracted with EtOAc (×3). The combined organics were dried over Na<sub>2</sub>SO<sub>4</sub> and concentrated to give (*S*,*R*)-37 as an off-white solid (116 mg, 55%): mp 140–148 °C;  $[\alpha]_D^{20}$ = -406 (*c* 0.05, MeOH);  $\nu_{max}$  (KBr)/cm<sup>-1</sup> 3438, 1705 (C=O), 1528, 1355, 1223, 1037, 943, 857; <sup>1</sup>H NMR (400 MHz, MeOD)  $\delta_H$  0.84 (3H, t, *J* = 7.4, CH<sub>3</sub>), 1.79 (2H, q, *J* = 7.5, CH<sub>2</sub>CH<sub>3</sub>), 6.14 (1H, *s*, CHOH), 6.37 (1H, dd, *J* = 8.0, 1.2, ArH), 7.05–7.14 (3H, m, ArH), 7.16–7.26 (3H, m, ArH), 7.28–7.33 (1H, m, ArH), 7.65 (1H, dd, *J* = 8.1, 1.1, ArH); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, MeOD)  $\delta_C$  9.5, 26.7, 49.0, 63.1, 72.0, 123.7, 127.8, 128.0, 129.2, 131.8, 131.9, 132.2, 135.5, 136.8, 151.4, 177.7; HRMS (EI)  $C_{17}H_{16}O_5N [M-H]^-$  requires 314.1034, found 314.1029 (-1.6 ppm).

(S,R)-37 was derivatized into its benzyl ester to allow chiral HPLC analysis. Benzyl bromide (50 µL, 0.37 mmol, 1.2 equiv) and DIEPA (80  $\mu$ L, 0.37 mmol, 1.2 equiv) were added to a solution of (S,R)-37 (98 mg, 0.31 mmol, 1.0 equiv) in DMF (2 mL), and the mixture was stirred at room temperature for 12 h. The reaction mixture was diluted with Et<sub>2</sub>O and washed with 2 M HCl and water (×2) before being dried over Na<sub>2</sub>SO<sub>4</sub> and concentrated. The crude product was purified by silica gel chromatography (80/20 petroleum ether/Et<sub>2</sub>O) to give (S)-benzyl 2-((R)-hydroxy(2-nitrophenyl)methyl)-2-phenylbutanoate (79 mg, 63%) as an orange oil:  $[\alpha]_D^{20} = -807$  (c 0.3, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AD-H (5% IPA/hexane, flow rate 1.0 mL  $\min^{-1}$ , 220 nm)  $t_{\rm R}$  minor (S,R) 22.8 min,  $t_{\rm R}$  major (S,R) 34.1 min, 96% ee;  $\nu_{\rm max}$  (KBr)/cm<sup>-1</sup> 3527, 2975, 1714 (C=O), 1607, 1527, 1447, 1355, 1216, 1125, 1039, 990, 912, 856, 785, 738, 699; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  0.83 (3H, t, J = 7.3, CH<sub>3</sub>), 1.63–1.75 (1H, m,  $CH_AH_BCH_3$ ), 1.89–2.01 (1H, m,  $CH_AH_BCH_3$ ), 3.87 (1H, d, J = 4.0, CHOH), 5.23 (2H, s, OCH<sub>2</sub>Ph), 6.20 (1H, d, J = 4.0, CHOH), 6.37 (1H, dd, J = 8.3, 1.1, ArH), 6.83–6.90 (2H, m, ArH), 7.04–7.09 (1H, m, ArH), 7.14-7.21 (2H, m, ArH), 7.23-7.34 (7H, m, ArH), 7.63 (1H, dd, J = 8.3, 1.1, ArH); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, CDCl<sub>3</sub>)  $\delta_{C}$  9.2, 26.3, 61.3, 67.2, 69.8, 123.0, 127.3, 127.5, 128.3, 128.5, 128.6, 128.6, 129.4, 131.1, 131.1, 132.5, 135.3, 136.6, 149.8, 175.7; HRMS (EI)  $C_{24}H_{24}O_5N [M + H]^+$  requires 406.1649, found 406.1650 (+0.2 ppm).

(S)-2-((R)-Amino(pyridin-2-yl)methyl)-2-phenylbutanoic Acid (38). According to a literature procedure,<sup>13</sup> syn-21 (100 mg, 0.39 mmol, 1 equiv) and NaN $_3$  (51 mg, 0.78 mmol, 2 equiv) in DMSO (1.25 mL) were heated at 65 °C in a sealed screw-cap vial for 48 h. The reaction mixture was cooled to room temperature, diluted with NaHCO<sub>3</sub> (5 mL), and washed with EtOAc (5 mL). The aqueous phase was acidified to pH 3 with 1 M HCl before being extracted with EtOAc (3  $\times$  10 mL). The combined organics were dried over MgSO<sub>4</sub> and concentrated before the resulting solid was triturated with Et<sub>2</sub>O to give (S)-2-((R)-azido(pyridin-2-yl)methyl)-2-phenylbutanoic acid 20 = (103 mg, 88%) as a white solid: mp 138 °C dec (Et<sub>2</sub>O);  $[\alpha]_D$ +167.1 (c 0.70, CHCl<sub>3</sub>);  $\nu_{max}$  (film) 2104 (CN<sub>3</sub>), 1699 (C=O), 1599; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  0.99 (3H, t, *J* = 7.4, CH<sub>3</sub>), 2.22–2.34  $(1H, m, CH_AH_BCH_3)$ , 2.37–2.53  $(1H, m, CH_AH_BCH_3)$ , 5.44  $(1H, s, CH_AH_BCH_3)$ CHN<sub>3</sub>), 6.84 (1H, d, J = 7.92, C(5)-pyH), 7.09–7.24 (5H, m, ArH), 7.29-7.36 (1H, m, ArH), 7.63 (1H, td, J = 7.8, 1.7, C(4)-pyH), 8.75 (1H, d, J = 5.2, C(2)-pyH); <sup>13</sup>C{<sup>1</sup>H} NMR (75 MHz, CDCl<sub>3</sub>)  $\delta_{\rm C}$  9.6, 28.9, 61.7, 71.2, 124.1, 124.5, 127.4, 127.7, 129.2, 137.2, 138.3, 147.6, 156.1, 173.5; HRMS (NSI<sup>+</sup>)  $C_{16}H_{17}O_2N_4$  [M + H]<sup>+</sup> requires 297.1346, found 297.1346 (+0.0 ppm).

According to a literature procedure,  $^{34}$  (S)-2-((R)-azido(pyridin-2yl)methyl)-2-phenylbutanoic acid (103 mg, 0.35 mmol, 1 equiv) and Pd/C (10% w/w, 37 mg, 35  $\mu$ mol, 10 mol %) were placed under an atmosphere of N<sub>2</sub> before MeOH (6 mL) was added. The solution was placed under an atmosphere of H<sub>2</sub> using a balloon and stirred at room temperature for 3 h. The reaction mixture was filtered through a pad of Celite, washing with MeOH, before being concentrated to give (S,R)-38 (95 mg, 100%) as a white solid: mp 112–115 °C dec;  $[\alpha]_D^2$ <sup>0</sup> = -12.6 (c 0.54, CHCl<sub>3</sub>);  $\nu_{max}$  (film) 3364 (br, N-H), 1591 (br, C= O), 1572; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  0.78 (3H, t, *J* = 7.1, CH<sub>3</sub>), 1.57-1.85 (2H, m, CH<sub>2</sub>CH<sub>3</sub>), 4.84 (1H, s, CHNH<sub>2</sub>), 6.13 (1H, d, J = 7.6, C(5)-pyH), 7.05-7.35 (8H, m, ArH), 8.38 (2H, br s, NH<sub>2</sub>), 8.49 (1H, d, J = 4.2, C(2)-pyH); <sup>13</sup>C{<sup>1</sup>H} NMR (75 MHz, CDCl<sub>3</sub>)  $\delta_{C}$  9.8, 24.0, 58.1, 60.9, 123.2, 124.1, 127.0, 128.2, 129.1, 135.9, 140.6, 148.2, 154.5, 178.0; HRMS (NSI<sup>+</sup>)  $C_{16}H_{19}O_2N_2$  [M + H]<sup>+</sup> requires 271.1442, found 271.1441 (+0.4 ppm).

(S,R)-38 was derivatized into its methyl ester to allow chiral HPLC analysis. (S,R)-38 (95 mg, 0.35 mmol, 1 equiv) was dissolved in MeOH (1.75 mL) under an inert atmosphere of nitrogen. (Trimethylsilyl)diazomethane (0.6 M in hexanes, 0.65 mL, 0.39 mmol, 1.1 equiv) was added dropwise, and the resulting solution was stirred at room temperature for 3 h in the absence of light. The reaction mixture was quenched with NaHCO<sub>3</sub>, diluted with H<sub>2</sub>O, and extracted with Et<sub>2</sub>O (×3). The combined organics were washed with brine, dried over MgSO<sub>4</sub>, and concentrated. The crude product was

purified by silica gel chromatography (95/5 CH<sub>2</sub>Cl<sub>2</sub>/MeOH) to give (*S*)-methyl 2-((*R*)-amino(pyridin-2-yl)methyl)-2-phenylbutanoate (47 mg, 47%) as an orange oil:  $[\alpha]_D^{20} = -25.0$  (*c* 0.58 in CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AD-H (5% IPA/hexane, flow rate 1 mL min<sup>-1</sup>, 254 nm, 30 °C)  $t_R$  (minor) 30.4 min,  $t_R$  (major) 34.3 min, 95% ee;  $\nu_{max}$  (film) 1724 (C=O), 1589, 1570; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_H$  0.65 (3H, t, *J* = 7.4, CH<sub>A</sub>H<sub>B</sub>CH<sub>3</sub>), 1.65–1.77 (1H, m, CH<sub>A</sub>H<sub>B</sub>CH<sub>3</sub>), 1.91–2.03 (1H, m, CH<sub>A</sub>H<sub>B</sub>CH<sub>3</sub>), 2.50 (2H, br s, NH<sub>2</sub>), 3.69 (3H, s, OCH<sub>3</sub>), 4.59 (1H, s, CHNH<sub>2</sub>), 6.96 (1H, br d, *J* = 8.0, C(5)-pyH), 7.01–7.29 (6H, m, ArH), 7.46 (1H, td, *J* = 7.6, 1.9, C(4)-pyH), 8.42–8.46 (1H, m, C(2)-pyH); <sup>13</sup>C{<sup>1</sup>H} NMR (75 MHz, CDCl<sub>3</sub>)  $\delta_C$  9.9, 29.0, 51.9, 61.7, 63.0, 122.6, 123.9, 127.0, 128.2, 128.4, 135.9, 139.7, 148.9, 159.7, 174.6; HRMS (NSI<sup>+</sup>) C<sub>17</sub>H<sub>21</sub>N<sub>2</sub>O<sub>2</sub> [M + H]<sup>+</sup> requires 285.1598, found 285.1601 (+1.2 ppm).

(S)-2-((S)-Hydroxy(pyridin-2-yl)methyl)-2-phenylbutanoic Acid (39). According to a literature procedure, <sup>13</sup> syn-21 (100 mg, 0.39 mmol, 1 equiv), 1 M KOH (0.79 mL, 0.79 mmol, 2 equiv), and THF (1.3 mL) were heated at 60 °C in a screw-cap vial for 16 h. The solution was cooled to room temperature before being concentrated. The resulting oil was dissolved in EtOAc (5 mL) and acidified to pH 3 with 1 M HCl. The layers were separated, and the aqueous layer was extracted with EtOAc  $(2 \times 5 \text{ mL})$  before the combined organics were washed with brine, dried over  $MgSO_4$ , and concentrated to give (S,S)-**39** (102 mg, 96%) as a pale yellow solid: mp 48–50 °C;  $[\alpha]_{D}^{2}$ -99.6 (с 1.12, CHCl<sub>3</sub>);  $\bar{\nu}_{max}$  (film) 3439 (br, O–H), 1699 (C=O), 1601; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.00 (3H, t, J = 7.4, CH<sub>3</sub>), 1.99-2.16 (1H, m,  $CH_{A}H_{B}CH_{3}$ ), 2.21-2.39 (1H, m.  $CH_{A}H_{B}CH_{3}$ ), 5.51 (1H, s, CHOH), 6.71 (1H, d, J = 7.8, C(5)-pyH), 7.01-7.09 (2H, m, ArH), 7.11-7.20 (4H, m, ArH), 7.48 (1H, td, J = 7.8, 1.6, C(4)pyH), 8.45 (1H, br d, J = 4.9, C(2)-pyH), 9.06 (1H, br, s, COOH); <sup>13</sup>C{<sup>1</sup>H} NMR (75 MHz, CDCl<sub>3</sub>)  $\delta_{\rm C}$  9.7, 28.4, 61.8, 77.2, 123.2, 124.2, 127.0, 127.6, 129.1, 137.5, 137.6, 146.0, 158.5, 177.4; HRMS (NSI<sup>+</sup>)  $C_{16}H_{18}NO_3 [M + H]^+$  requires 272.1281, found 272.1284 (+1.0 ppm).

(S,S)-39 was derivatized into its methyl ester to allow chiral HPLC analysis. (S,S)-39 (108 mg, 0.39 mmol, 1 equiv) was dissolved in MeOH (2 mL) under an inert atmosphere of nitrogen and cooled to 0 °C. (Trimethylsilyl)diazomethane (0.6 M in hexanes, 0.83 mL, 0.5 mmol, 1.3 equiv) was added dropwise, and the resulting solution was stirred at room temperature for 3 h in the absence of light. The reaction mixture was quenched with NaHCO<sub>3</sub>, diluted with H<sub>2</sub>O, and extracted with  $Et_2O$  (×3). The combined organics were washed with brine, dried over MgSO<sub>4</sub>, and concentrated to give (S)-methyl 2-((S)hydroxy(pyridin-2-yl)methyl)-2-phenylbutanoate (52 mg, 47%) as a pale yellow solid: mp 96–98 °C (Et<sub>2</sub>O);  $[\alpha]_D^{20} = -161.9$  (c 0.80 in CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AD-H (5% IPA/hexane, flow rate 1 mL min<sup>-1</sup>, 254 nm, 30 °C)  $t_{\rm R}$  (major) 14.3 min,  $t_{\rm R}$  (minor) 20.2 min, 83% ee;  $\nu_{\rm max}$  (film) 1728 (C=O), 1591, 1570, 1499; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.02 (3H, t, J = 7.4, CH<sub>A</sub>H<sub>B</sub>CH<sub>3</sub>), 2.00–2.12  $(1H, m, CH_AH_BCH_3)$ , 2.17–2.29  $(1H, m, CH_AH_BCH_3)$ , 3.78  $(3H, s, CH_AH_BCH_3)$ OCH<sub>3</sub>), 5.45 (1H, s, CHOH), 6.64 (1H, d, J = 7.9, C(5)-pyH), 6.94-6.99 (2H, m, ArH), 7.08 (1H, ddd, J = 7.5, 4.9, 1.2, ArH), 7.14-7.23 (3H, m, ArH), 7.40 (1H, td, J = 7.8, 1.8, C(4)-pyH), 8.33-8.38 (1H, m, C(2)-pyH);  ${}^{13}C{}^{1}H{}$  NMR (75 MHz, CDCl<sub>3</sub>)  $\delta_{C}$  9.8, 26.7, 52.3, 61.5, 76.3, 122.6, 122.7, 127.1, 127.4, 128.8, 135.5, 137.3, 147.5, 158.5, 176.1; HRMS (NSI<sup>+</sup>) C<sub>17</sub>H<sub>20</sub>NO<sub>3</sub> [M + H]<sup>+</sup> requires 286.1438, found 286.1439 (+0.5 ppm).

(S)-N-BenzyI-2-((S)-hydroxy(pyridin-2-yl)methyl)-2-phenylbutanamide (40). syn-21 (100 mg, 0.039 mmol, 1 equiv) was dissolved in anhydrous CH<sub>2</sub>Cl<sub>2</sub> (1.7 mL) in a screw-cap vial under a N<sub>2</sub> atmosphere. Benzylamine (0.22 mL, 1.97 mmol, 5 equiv) and triethylamine (60  $\mu$ L, 0.43 mmol, 1.1 equiv) were added, and the solution was heated to 40 °C for 16 h. The reaction mixture was cooled to room temperature before being diluted with CH<sub>2</sub>Cl<sub>2</sub> and washed with NH<sub>4</sub>Cl (×2) and then brine. The organic layer was dried over MgSO<sub>4</sub> and concentrated. The crude product was purified by silica gel chromatography (99/1 CH<sub>2</sub>Cl<sub>2</sub>/MeOH) to give (S,S)-40 (72 mg, 54%) as a white solid: mp 157–158 °C (CH<sub>2</sub>Cl<sub>2</sub>);  $[\alpha]_D^{20} =$ -152.4 (*c* 0.97, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AD-H (5% IPA/hexane, flow rate 1 mL min<sup>-1</sup>, 254 nm, 30 °C) *t*<sub>R</sub> (minor) 37.2 min, *t*<sub>R</sub> (major) 48.7 min, 83% ee;  $\nu_{max}$  (film) 3302 (N–H), 1616 (C=O), 1589, 1545; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.20 (3H, t, J = 7.4, CH<sub>3</sub>), 1.88–2.00 (1H, m, CH<sub>A</sub>H<sub>B</sub>CH<sub>3</sub>), 2.32–2.44 (1H, m, CH<sub>A</sub>H<sub>B</sub>CH<sub>3</sub>), 4.56 (2H, d, J = 5.8, CH<sub>2</sub>Ph), 4.76 (1H, br s, OH), 5.59 (1H, s, CHOH), 6.00 (1H, br t, J = 5.7, NH), 6.60 (1H, d, J = 8.0, C(5)-pyH), 7.01–7.12 (3H, m, ArH), 7.14–7.42 (9H, m, ArH), 8.38–8.42 (1H, m, C(2)-pyH); <sup>13</sup>C{<sup>1</sup>H} NMR (75 MHz, CDCl<sub>3</sub>)  $\delta_{\rm C}$  9.6, 27.6, 44.0, 60.7, 76.1, 122.3, 122.7, 127.4, 127.6, 127.7, 128.0, 128.8, 129.3, 135.4, 138.1, 138.2, 147.3, 159.3, 176.2; HRMS (NSI<sup>+</sup>) C<sub>23</sub>H<sub>25</sub>N<sub>2</sub>O<sub>2</sub> [M + H]<sup>+</sup> requires 361.1911, found 361.1914 (+1.0 ppm).

(3S,4S)-3-Methyl-4-(6-morpholinopyridin-2-yl)-3-phenyloxetan-2-one (41). Pd(OAc)<sub>2</sub> (7.0 mg, 0.031 mmol, 0.10 equiv),  $(\pm)$ -BINAP (39.1 mg, 0.063 mmol, 0.20 equiv), and Cs<sub>2</sub>CO<sub>3</sub> (307 mg, 0.942 mmol, 3.0 equiv) were added to an oven-dried vial and suspended in anhydrous toluene (4 mL) under an atmosphere of argon. The suspension was stirred for 20 min before syn-26 (100 mg, 0.314 mmol, 1.00 equiv, 86% ee, >95:5 dr) and morpholine (54  $\mu$ L, 0.629 mmol, 2.00 equiv) were added. The reaction mixture was heated to 50 °C for 8.5 h then to 80 °C for 4 h. The suspension was cooled to room temperature, filtered through Celite, and concentrated. The crude product was purified by silica gel chromatography (80/20 petroleum ether/Et<sub>2</sub>O) to give (*S*,*S*)-41 (67 mg, 66%) as a colorless solid: mp 80–82 °C;  $[\alpha]_D^{20} = -177.5$  (*c* 0.48, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AS-H (5% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 254 nm)  $t_{\rm R}$  major (S,S) 25.4 min,  $t_{\rm R}$  minor (R,R) 31.0 min, 87% ee;  $\nu_{\rm max}$ (ATR)/cm<sup>-1</sup> 2961, 2365, 1817 (C=O), 1593, 1558, 1473, 1437, 1260, 1244, 1113, 1103, 976, 966, 912, 878, 764; <sup>1</sup>H NMR (300 MHz,  $CDCl_3$ )  $\delta_H$  1.97 (3H, s,  $CH_3$ ), 3.34 (4H, t, J = 4.8, morph $CH_2$ -3.5),  $3.74 (4H, t, J = 4.8, morphCH_2-2,6), 5.43 (1H, s, CH(Ar)), 6.35 (1H, s)$ d, J = 8.5, PyH-5), 6.53 (1H, d, J = 7.3, PyH-3), 7.05-7.12 (5H, m, PhH), 7.26–7.31 (1H, m, PyH-4); <sup>13</sup>C{<sup>1</sup>H} NMR (75 MHz, CDCl<sub>3</sub>)  $\delta_{\rm C}$  25.3, 45.5, 66.8, 84.2, 106.5, 111.4, 126.5, 127.2, 128.1, 136.7, 137.9, 153.0, 158.6, 173.3; HRMS (ESI)  $C_{19}H_{21}O_3N_2 [M + H]^+$  requires 325.1547, found 325.1552.

tert-Butyl 5-Methoxy-2-(6-((2S,3S)-3-methyl-4-oxo-3-phenyloxetan-2-yl)pyridin-2-yl)-1H-indole-1-carboxylate (42). Pd-(PPh<sub>3</sub>)<sub>4</sub> (36.3 mg, 0.031 mmol, 0.10 equiv), syn-26 (100 mg, 0.314 mmol, 1.00 equiv, 86% ee, >95:5 dr), and (1-(tert-butoxycarbonyl)-5methoxy-1H-indol-2-yl)boronic acid (110 mg, 0.377 mmol, 1.20 equiv) were added to an oven-dried vial and suspended in DME (5 mL) under an atmosphere of argon. Na<sub>2</sub>CO<sub>3</sub> (2 M; 0.47 mL, 0.377 mmol, 3.0 equiv) was added, and the reaction mixture was heated to 85 °C for 4 h. The solution was cooled to room temperature before being diluted with EtOAc and washed with water. The organic phase was dried over MgSO4 and concentrated. The crude product was purified by silica gel chromatography (90/10 petroleum ether/EtOAc) to give (*S*,*S*)-42 (140 mg, 92%) as a pale yellow oil:  $[\alpha]_D^{20} = -263.6$  (*c* 0.39, CHCl<sub>3</sub>); chiral HPLC analysis Chiralpak AD-H (5% IPA/hexane, flow rate 1.0 mL min<sup>-1</sup>, 254 nm)  $t_{\rm R}$  major (S,S) 13.7 min,  $t_{\rm R}$  minor (R,R) 20.6 min, 89% ee;  $\nu_{\rm max}$  (ATR)/cm<sup>-1</sup> 2976, 1830 (C=O), 1732 (C=O), 1593, 1574, 1454, 1369, 1319, 1219, 1159, 1121, 1099, 1059, 1032, 984, 905, 849, 804, 760; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta_{\rm H}$  1.39 (9H, s, OC(CH<sub>3</sub>)<sub>3</sub>), 1.99 (3H, s, CCH<sub>3</sub>), 3.88 (3H, s, OCH<sub>3</sub>), 5.72 (1H, s, CH(Ar)), 6.60 (1H, s, IndH-3), 6.97-7.01 (2H, m, HetArH), 7.06 (1H, d, J = 2.6, HetArH), 7.08-7.16 (5H, m, PhH), 7.23 (1H, dd, J = 7.8, 0.8, HetArH), 7.47 (1H, t, J = 7.8, HetArH), 8.05 (1H, d, J = 9.1, PyH-3);  ${}^{13}C{}^{1}H$  NMR (100 MHz, CDCl<sub>3</sub>)  $\delta_{C}$  25.1, 27.9, 55.8, 67.0, 83.7, 84.5, 103.4, 111.5, 114.2, 116.2, 119.5, 123.2, 126.8, 127.5, 128.4, 129.7, 132.4, 135.9, 136.3, 139.4, 150.0, 152.5, 154.8, 156.2, 172.8; HRMS (ESI)  $C_{29}H_{29}O_5N_2$  [M + H]<sup>+</sup> requires 485.2071, found 485.2068.

#### ASSOCIATED CONTENT

### **Supporting Information**

Figures giving <sup>1</sup>H and <sup>13</sup>C{<sup>1</sup>H} NMR spectra and HPLC trances of all  $\beta$ -lactones and derivatization products and CIF files giving X-ray crystallographic data for *syn-7* and *syn-21*, *25*, and 27. This material is available free of charge via the Internet at http://pubs.acs.org.

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#### Notes

The authors declare no competing financial interest.

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(25)  $\beta$ -Lactones 8–10 derived from 2-halobenzaldehydes proved difficult to purify to homogeneity and were therefore isolated after ring opening with KOH followed by esterification to give isolable  $\beta$ -hydroxy acids in 25–36% yields over three steps.

(26) Employing 2-tolylbenzaldehyde under the optimized conditions gave only ketene dimerization and return of the aldehyde, consistent with the requirement for an electron-withdrawing 2-substituent within the aldehyde to facilitate  $\beta$ -lactone formation.

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(32) A control experiment resubjecting isolated syn-21 (>95:5 dr) to the reaction conditions for 24 h resulted in no loss of diastereoselectivity.

(33) The relative and absolute configurations within **21**, **25**, and **27** were determined by X-ray crystallography. Crystallographic data for **21**, **25**, and **27** have been deposited with the Cambridge Crystallographic Data Centre as supplementary publication numbers CCDC 923189, 923190, and 923191.

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